

# THE CALDERÓN PROBLEM FOR A NONLOCAL DIFFUSION EQUATION WITH TIME-DEPENDENT COEFFICIENTS

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**ABSTRACT.** We investigate global uniqueness for an inverse problem for a nonlocal diffusion equation on domains that are bounded in one direction. The coefficients are assumed to be unknown and isotropic on the entire space. We first show that the partial exterior *Dirichlet-to-Neumann map* locally determines the diffusion coefficient in the exterior domain. In addition, we introduce a novel analysis of *nonlocal Neumann derivatives* to prove an interior determination result. Interior and exterior determination yield the desired global uniqueness theorem for the Calderón problem of nonlocal diffusion equations with time-dependent coefficients. This work extends recent studies from nonlocal elliptic equations with global coefficients to their parabolic counterparts. The results hold for any spatial dimension  $n \geq 1$ .

**Keywords.** Fractional Laplacian, fractional gradient, Calderón problem, conductivity equation, Liouville reduction, nonlocal Neumann derivative, Runge approximation.

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## 1. INTRODUCTION

The research of inverse boundary value problems has become an active field in applied mathematics since Calderón published his pioneering work “On an inverse boundary value problem” [Cal06]. Calderón asked the following question: “Can one determine the electrical conductivity of a medium by using boundary measurements of voltage and current?” This problem is referred as the *Calderón problem* in the literature. The mathematical setup is to consider a bounded domain  $\Omega \subset \mathbb{R}^n$  with sufficiently regular boundary  $\partial\Omega$ , representing a conducting medium, and a positive function  $\gamma(x) > 0$  on  $\Omega$  which is its a priori unknown conductivity. It is known that sufficiently regular conductivities are uniquely determined by the information of current and voltage measurements on the boundary. In other words,  $\gamma$  can be recovered when the Cauchy data  $\{u|_{\partial\Omega}, \gamma \frac{\partial u}{\partial \nu}|_{\partial\Omega}\}$  is given, where  $u$  solves the conductivity equation

$$(1.1) \quad \operatorname{div}(\gamma \nabla u) = 0 \text{ in } \Omega.$$

The Calderón problem was first solved by [SU87] in space dimension  $n \geq 3$ , where the authors demonstrated the fact that the conductivity can be determined uniquely by the *Dirichlet-to-Neumann map* (DN map,  $u|_{\partial\Omega} \mapsto \gamma \frac{\partial u}{\partial \nu}$ ) of the conductivity equation (1.1). After some years, the same result has been showed in space dimension  $n = 2$  in [Nac96] and later for conductivities which are only uniformly elliptic [AP06].

Recently, the studies of Calderón type inverse problems have been considered for nonlocal operators as well. A prototypical example is the inverse exterior value problem for the fractional Schrödinger operator  $(-\Delta)^s + q(x)$  which was first introduced and solved in [GSU20]. The main tool in solving this Calderón problem is based on a suitable *unique continuation property* (UCP) and the closely related *Runge approximation*. By applying similar ideas, one can solve several challenging problems which some still stay open in the corresponding local cases. This shows that nonlocal inverse problems take advantages from the *nonlocality* of the underlying operators. For further details we refer to [BGU21, CMR21, CMRU22, Cov20, GLX17, CL19, CLL19, CRZ22, CLR20, FGKU21, HL19, HL20, GRSU20, GU21, Gho21, Lin22, LL22a, LL22b, LLR20, LLU22, KIW22, RS20a, RS18, RZ22a, RZ22c, RZ22b] and the references therein. We emphasize that most of these works consider nonlocal inverse problems in which one wants to recover lower order coefficients. On the other hand, in the articles [Cov20, GU21, LLU22, RZ22c, RZ22b, RZ22a] the authors study nonlocal inverse problems where one is interested in determining leading order coefficients and hence they can be seen as full nonlocal analogies of the classical Calderón problem.

**1.1. Mathematical modeling and main results.** Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction for any  $n \in \mathbb{N}$ , and consider the initial exterior value problem of the *variable coefficient nonlocal diffusion equation*

$$(1.2) \quad \begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = 0 & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(x, 0) = 0 & \text{in } \Omega, \end{cases}$$

where  $\Omega_e := \mathbb{R}^n \setminus \overline{\Omega}$  denotes the exterior of  $\Omega$ ,  $0 < T < \infty$  and  $0 < s < \min(1, n/2)$ . Throughout this work, let us assume  $\gamma \in L^\infty(\mathbb{R}_T^n)$  is a uniformly elliptic conductivity, i.e., there exists a constant  $\gamma_0 > 0$  such that

$$(1.3) \quad 0 < \gamma_0 \leq \gamma(x, t) \leq \gamma_0^{-1} \text{ for } (x, t) \in \mathbb{R}_T^n.$$

In addition, let us denote  $\Theta_\gamma(x, y, t) := \gamma^{1/2}(x, t)\gamma^{1/2}(y, t)\mathbf{1}_{n \times n}$  for  $x, y \in \mathbb{R}^n$  to be the conductivity matrix. Moreover, we always use the notation

$$A_T := A \times (0, T)$$

to denote the space time cylinders, where  $A \subset \mathbb{R}^n$  can be any set.

In this work, we are interested in the determination of the conductivity  $\gamma(x, t)$  in  $\mathbb{R}_T^n$  for the nonlocal diffusion equation (1.2). Assuming the well-posedness of (1.2) at the moment (the proof will be given in Section 3), we can define the DN map via

$$(1.4) \quad \langle \Lambda_\gamma f, g \rangle := \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n}} \gamma^{1/2}(x, t)\gamma^{1/2}(y, t) \cdot \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} dx dy dt,$$

for all  $f, g \in C_c^\infty((\Omega_e)_T)$ , where

$$(1.5) \quad C_{n,s} := \frac{4^s \Gamma(n/2 + s)}{\pi^{n/2} |\Gamma(-s)|}$$

is a constant and  $u_f$  is the unique solution of (1.2). More precisely, we ask the following question:

**Question 1.** *If we have given conductivities  $\gamma_1, \gamma_2$  in a suitable function space such that  $\Lambda_{\gamma_1} f|_{(W_2)_T} = \Lambda_{\gamma_2} f|_{(W_2)_T}$  for all  $f \in C_c^\infty((W_1)_T)$ , where  $W_1, W_2 \subset \Omega_e$  are given nonempty open sets, does there hold  $\gamma_1 = \gamma_2$  in  $\mathbb{R}_T^n$ ?*

In the limiting case  $s = 1$ , this problem and its generalizations has been studied, for example, in [CK01] or [Fei22]. In these works, the authors determine the coefficients for heat equations for any spatial dimension  $n \geq 2$  by using the corresponding boundary measurements, where they allow an additional uniformly elliptic coefficient  $\rho$  in front of the time derivative. On the other hand, in the works [KOSY18, KSY18], the inverse problem for the diffusion equation with fractional time derivative has been studied.

Next, let  $u_j$  be the solution of

$$(1.6) \quad \begin{cases} \partial_t u_j + \operatorname{div}_s(\Theta_{\gamma_j} \nabla^s u_j) = 0 & \text{in } \Omega_T, \\ u_j = f & \text{in } (\Omega_e)_T, \\ u_j(x, 0) = 0 & \text{in } \Omega, \end{cases}$$

and denote the exterior DN map of (1.6) by  $\Lambda_{\gamma_j}$ , for  $j = 1, 2$ . Our first theorem shows that the exterior DN maps have a unique continuation property. The proof is based on a spacetime Liouville reduction, which reduces the problem to a diffusion Schrödinger type inverse problem. By applying the Runge approximation property for certain equations, we can prove the uniqueness of the conductivity  $\gamma$ . The argument however requires the Alessandrini identity as well as the use of the UCP of the fractional Laplacian twice, once in  $H^s$  and once in  $H^{2s, \frac{n}{2s}}$ , the latter using a general UCP result in [KRZ22]. See Theorem 5.2 for a further information why earlier approaches that work well in the elliptic case lead into additional challenges in the studied parabolic case. In particular, the parabolic, fractional, Liouville reduction introduces new zeroth and first order coefficients with respect to the time derivative. This being a new and non-standard equation to be investigated further in our present work, in comparison to the elliptic case which reduces to a standard elliptic problem of the type  $(-\Delta)^s + q$ .

**Theorem 1.1** (Global uniqueness). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $W \subset \Omega_e$  be an open set. Assume that  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n) \cap C^\infty(W_T)$ <sup>1</sup> for  $j = 1, 2$ . Then*

$$(1.7) \quad \Lambda_{\gamma_1} f|_{W_T} = \Lambda_{\gamma_2} f|_{W_T}, \text{ for any } f \in C_c^\infty(W_T),$$

*implies that  $\gamma_1 = \gamma_2$  in  $\mathbb{R}_T^n$ .*

In order to prove Theorem 1.1, we first need to establish an *exterior determination* result. This extends the results in [CRZ22, RZ22c] for elliptic equations and is based on a construction of special solutions to the equation (1.2) whose energies can be concentrated near a fixed point in the spacetime. Furthermore, to our best knowledge, Theorem 1.1 is the first result to recover time-dependent coefficients in the nonlocal setup.

**Theorem 1.2** (Exterior determination). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $W \subset \Omega_e$  be an open set. Assume that  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  for  $j = 1, 2$ . Then (1.7) implies that  $\gamma_1 = \gamma_2$  a.e. in  $W_T$ .*

**Ideas of the proof.** Let us briefly summarize the ideas of the proof of Theorem 1.1. We first prove the exterior uniqueness by using the exterior information from (1.7) such that  $\gamma_1 = \gamma_2$  in  $W_T$ . Next, consider arbitrary nonempty disjoint open subsets  $W_1, W_2 \subset W \subset \Omega_e$ , then Theorem 1.2 implies that  $\gamma_1 = \gamma_2$  in  $(W_1 \cup W_2)_T$ . We next introduce the *nonlocal Neumann derivatives*

$$\mathcal{N}_{\gamma_j} u(x, t) = C_{n, s} \int_{\Omega} \gamma_j^{1/2}(x, t) \gamma_j^{1/2}(y, t) \frac{u(x, t) - u(y, t)}{|x - y|^{n+2s}} dy, \quad (x, t) \in (\Omega_e)_T,$$

for  $j = 1, 2$ , where  $C_{n, s}$  is the constant given by (1.5). In particular, we can prove that

$$(1.8) \quad \langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle, \text{ for any } f \in C_c^\infty((W_1)_T) \text{ and } g \in C_c^\infty((W_2)_T),$$

whenever (1.7) holds (see Lemma 6.6).

Meanwhile, we introduce the spacetime Liouville reduction, which transfer the nonlocal diffusion equation (1.2) into a Schrödinger type equation (see (5.3)). By utilizing the identity (1.8) and the Liouville reduction, we can derive a suitable integral identity (see Section 7.1). Now, applying the Runge approximation (Proposition 7.3), we can prove the interior uniqueness  $\gamma_1 = \gamma_2$  in  $\Omega_T$  and  $(-\Delta)^s(\gamma_1^{1/2} - \gamma_2^{1/2}) = 0$  in  $\Omega_T$ . Finally, using the UCP we can conclude the proof. We want to emphasize again that our theorems hold for any spatial dimension  $n \in \mathbb{N}$ .

**1.2. Organization of the article.** We first recall preliminaries related to function spaces and nonlocal operators in Section 2. In Section 3, we show well-posedness of the forward problem (1.2) and define the exterior DN maps. We prove the exterior determination by using (1.7) in Section 4. In Section 5, we introduce the spacetime Liouville reduction, which transfer the equation (1.2) into a Schrödinger type diffusion equation (5.3). We also study the well-posedness for the reduced equation. In Section 6, we introduce a nonlocal Neumann derivatives for both equations (1.2) and (5.3). Finally, we prove the global uniqueness in Section 7.3 by deriving suitable integral identities and an approximation property. In Appendix A, we discuss and explain several connections between DN maps and nonlocal Neumann derivatives.

<sup>1</sup>The set  $\Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  is defined by (3.20) in Section 3.

## 2. PRELIMINARIES

Throughout this article the space dimension  $n$  is a fixed positive integer and  $\Omega \subset \mathbb{R}^n$  is an open set. In this section, we introduce fundamental properties of function spaces and operators which will be used in our study.

**2.1. Fractional Sobolev spaces.** We denote by  $\mathcal{S}(\mathbb{R}^n)$  and  $\mathcal{S}'(\mathbb{R}^n)$  Schwartz functions and tempered distributions respectively. We define the Fourier transform  $\mathcal{F}: \mathcal{S}(\mathbb{R}^n) \rightarrow \mathcal{S}'(\mathbb{R}^n)$  by

$$\mathcal{F}f(\xi) := \int_{\mathbb{R}^n} f(x) e^{-ix \cdot \xi} dx,$$

which is occasionally also denoted by  $\hat{f}$ , and  $i = \sqrt{-1}$ . By duality it can be extended to the space of tempered distributions and will again be denoted by  $\mathcal{F}u = \hat{u}$ , where  $u \in \mathcal{S}'(\mathbb{R}^n)$ , and we denote the inverse Fourier transform by  $\mathcal{F}^{-1}$ . Next recall that the fractional Laplacian of order  $a \geq 0$  as a Fourier multiplier

$$(-\Delta)^{a/2}u = \mathcal{F}^{-1}(|\xi|^a \hat{u}(\xi)),$$

for  $u \in \mathcal{S}'(\mathbb{R}^n)$  whenever the right hand side is well-defined. Given  $a \geq 0$ , the  $L^2$ -based fractional Sobolev space  $H^a(\mathbb{R}^n) := W^{a,2}(\mathbb{R}^n)$  is given by

$$\|u\|_{H^a(\mathbb{R}^n)}^2 = \|u\|_{L^2(\mathbb{R}^n)}^2 + \|(-\Delta)^{a/2}u\|_{L^2(\mathbb{R}^n)}^2.$$

In addition, the Parseval identity implies that the seminorm  $\|(-\Delta)^{a/2}u\|_{L^2(\mathbb{R}^n)}$  can be expressed as

$$\|(-\Delta)^{a/2}u\|_{L^2(\mathbb{R}^n)} = \langle (-\Delta)^a u, u \rangle_{L^2(\mathbb{R}^n)}^{1/2}.$$

By duality one extends the spaces  $H^a(\mathbb{R}^n)$  to the range  $a < 0$ . If  $\Omega \subset \mathbb{R}^n$  is an open set and  $a \in \mathbb{R}$ , then the fractional Sobolev spaces are defined by

$$\begin{aligned} H^a(\Omega) &:= \{u|_{\Omega}; u \in H^a(\mathbb{R}^n)\}, \\ \tilde{H}^a(\Omega) &:= \text{closure of } C_c^\infty(\Omega) \text{ in } H^a(\mathbb{R}^n). \end{aligned}$$

Meanwhile,  $H^a(\Omega)$  is a Banach space with respect to the quotient norm

$$\|u\|_{H^a(\Omega)} := \inf \{ \|U\|_{H^a(\mathbb{R}^n)}; U \in H^a(\mathbb{R}^n) \text{ and } U|_{\Omega} = u \}.$$

**2.2. Bessel potential spaces.** Next, we introduce the Bessel potential spaces  $H^{s,p}(\mathbb{R}^n)$  and two local variants of them, namely  $\tilde{H}^{s,p}(\Omega)$  and  $H^{s,p}(\Omega)$ . The Bessel potential of order  $s \in \mathbb{R}$  is the Fourier multiplier  $\langle D \rangle^s: \mathcal{S}'(\mathbb{R}^n) \rightarrow \mathcal{S}'(\mathbb{R}^n)$  given by

$$\langle D \rangle^s u := \mathcal{F}^{-1}(\langle \xi \rangle^s \hat{u}),$$

where  $\langle \xi \rangle = \sqrt{1 + |\xi|^2}$  is the Japanese bracket. Now for any  $1 \leq p < \infty$  and  $s \in \mathbb{R}$  the Bessel potential spaces  $H^{s,p}(\mathbb{R}^n)$  are defined by

$$H^{s,p}(\mathbb{R}^n) := \{u \in \mathcal{S}'(\mathbb{R}^n); \langle D \rangle^s u \in L^p(\mathbb{R}^n)\}$$

and they are equipped with the norm  $\|u\|_{H^{s,p}(\mathbb{R}^n)} := \|\langle D \rangle^s u\|_{L^p(\mathbb{R}^n)}$ . The local Bessel potential spaces  $\tilde{H}^{s,p}(\Omega)$  are now defined as the closure of  $C_c^\infty(\Omega)$  in  $H^{s,p}(\mathbb{R}^n)$  and endowed with the norm inherited from  $H^{s,p}(\mathbb{R}^n)$ . Moreover, we denote by  $H^{s,p}(\Omega)$  the space of restrictions from elements in  $H^{s,p}(\mathbb{R}^n)$  to  $\Omega$  and endow it with the related quotient norm

$$\|u\|_{H^{s,p}(\Omega)} := \inf \{ \|U\|_{H^{s,p}(\mathbb{R}^n)}; U \in H^{s,p}(\mathbb{R}^n), U|_{\Omega} = u \}.$$

We have that  $(\tilde{H}^{s,p}(\Omega))^* = H^{-s,p'}(\Omega)$  and  $\tilde{H}^{s,p}(\Omega) = (H^{-s,p'}(\Omega))^*$  for every  $1 < p < \infty$  and  $s \in \mathbb{R}$ . As usual, when  $p = 2$ , then we drop the index  $p$  in the above notations and see that they are isomorphic to the spaces introduced in Section 2.1.

**2.3. Some properties of nonlocal operators.** It is known that the fractional Laplacian induces a bounded linear map  $(-\Delta)^{s/2}: H^{t,p}(\mathbb{R}^n) \rightarrow H^{t-s,p}(\mathbb{R}^n)$  for every  $1 \leq p < \infty$ ,  $s \geq 0$  and  $t \in \mathbb{R}$ . Next, we introduce a special class of unbounded open sets which have a fractional Poincaré inequality:

**Definition 2.1.** (i) We say that an open set  $\Omega_\infty \subset \mathbb{R}^n$  of the form  $\Omega_\infty = \mathbb{R}^{n-k} \times \omega$ , where  $n \geq k > 0$  and  $\omega \subset \mathbb{R}^k$  is a bounded open set, is a cylindrical domain.

(ii) We say that an open set  $\Omega \subset \mathbb{R}^n$  is bounded in one direction if there exists a cylindrical domain  $\Omega_\infty \subset \mathbb{R}^n$  and a rigid Euclidean motion  $A(x) = Lx + x_0$ , where  $L$  is a linear isometry and  $x_0 \in \mathbb{R}^n$ , such that  $\Omega \subset A\Omega_\infty$ .

**Proposition 2.2** (Poincaré inequality (cf. [RZ22b, Theorem 2.2])). Let  $\Omega \subset \mathbb{R}^n$  be an open set that is bounded in one direction. Suppose that  $2 \leq p < \infty$  and  $0 \leq s \leq t < \infty$ , or  $1 < p < 2$ ,  $1 \leq t < \infty$  and  $0 \leq s \leq t$ . Then there exists  $C(n, p, s, t, \Omega) > 0$  such that

$$\|(-\Delta)^{s/2}u\|_{L^p(\mathbb{R}^n)} \leq C\|(-\Delta)^{t/2}u\|_{L^p(\mathbb{R}^n)}$$

for all  $u \in \tilde{H}^{t,p}(\Omega)$ .

For the rest of this article we fix  $s \in (0, 1)$ . The fractional gradient of order  $s$  is the bounded linear operator  $\nabla^s: H^s(\mathbb{R}^n) \rightarrow L^2(\mathbb{R}^{2n}; \mathbb{R}^n)$  given by (see [Cov20, DGLZ12, RZ22b])

$$\nabla^s u(x, y) := \sqrt{\frac{C_{n,s}}{2}} \frac{u(x) - u(y)}{|x - y|^{n/2+s+1}}(x - y),$$

which satisfies

$$(2.1) \quad \|\nabla^s u\|_{L^2(\mathbb{R}^{2n})} = \|(-\Delta)^{s/2}u\|_{L^2(\mathbb{R}^n)} \leq \|u\|_{H^s(\mathbb{R}^n)}$$

for all  $u \in H^s(\mathbb{R}^n)$ , where  $C_{n,s}$  is the constant given by (1.5). The adjoint of  $\nabla^s$  is called fractional divergence of order  $s$  and denoted by  $\operatorname{div}_s$ . More concretely, the fractional divergence of order  $s$  is the bounded linear operator

$$\operatorname{div}_s: L^2(\mathbb{R}^{2n}; \mathbb{R}^n) \rightarrow H^{-s}(\mathbb{R}^n)$$

satisfying

$$\langle \operatorname{div}_s u, v \rangle_{H^{-s}(\mathbb{R}^n) \times H^s(\mathbb{R}^n)} = \langle u, \nabla^s v \rangle_{L^2(\mathbb{R}^{2n})}$$

for all  $u \in L^2(\mathbb{R}^{2n}; \mathbb{R}^n)$ ,  $v \in H^s(\mathbb{R}^n)$ . One can show that (see [RZ22b, Section 8])

$$\|\operatorname{div}_s(u)\|_{H^{-s}(\mathbb{R}^n)} \leq \|u\|_{L^2(\mathbb{R}^{2n})}$$

for all  $u \in L^2(\mathbb{R}^{2n}; \mathbb{R}^n)$ , and also

$$(-\Delta)^s u = \operatorname{div}_s(\nabla^s u)$$

weakly for all  $u \in H^s(\mathbb{R}^n)$  (see [Cov20, Lemma 2.1]).

**2.4. Bochner spaces.** Next, we introduce some standard function spaces for time-dependent PDEs adapted to the nonlocal setting considered in this article. Let  $X$  be a Banach space and  $(a, b) \subset \mathbb{R}$ . Then we let  $L^p(a, b; X)$  ( $1 \leq p < \infty$ ) stand for the space of measurable functions  $u: (a, b) \rightarrow X$  such that

$$(2.2) \quad \|u\|_{L^p(a,b;X)} := \left( \int_a^b \|u(t)\|_X^p dt \right)^{1/p} < \infty$$

and  $L^\infty(a, b; X)$  the space of measurable functions  $u: (a, b) \rightarrow X$  such that

$$\|u\|_{L^\infty(a,b;X)} := \inf\{M; \|u(t)\|_X \leq M \text{ a.e.}\} < \infty.$$

As usual, we say that  $u \in L^p_{loc}(a, b; X)$  if  $\chi_K u \in L^p(a, b; X)$  for any compact set  $K \subset (a, b)$ , where  $\chi_A$  denotes the characteristic function of the set  $A$ .

Moreover, if  $u \in L^1_{loc}(a, b; X)$  and  $X$  is a space of functions over an open set  $\Omega \subset \mathbb{R}^n$ , as  $L^p(\Omega)$ , then  $u$  is identified with a function  $u(x, t)$  and  $u(t)$  denotes the function  $\Omega \ni x \mapsto u(x, t)$  for almost all  $t$ . This is justified from the fact, that any  $u \in L^q(a, b; L^p(\Omega))$  with  $1 \leq q, p < \infty$  can be seen as a measurable function  $u: \Omega \times (a, b) \rightarrow \mathbb{R}$  such that the norm  $\|u\|_{L^q(a, b; L^p(\Omega))}$ , as defined in (2.2), is finite. Clearly, a similar statement holds for the spaces  $L^q(a, b; H^{s, p}(\mathbb{R}^n))$  and their local versions. Furthermore, the distributional derivative  $\frac{du}{dt} \in \mathcal{D}'((a, b); X)$  is identified with the derivative  $\partial_t u \in \mathcal{D}'(\Omega \times (a, b))$  as long as it is well-defined. Here  $\mathcal{D}'((a, b); X)$  stands for all continuous linear operators from  $C_c^\infty((a, b))$  to  $X$ . Given two Banach spaces  $X, Y$  such that  $X \hookrightarrow Y$ , we say  $u \in L^2(a, b; X)$  has a (weak) time derivative  $u' := \frac{du}{dt}$  in  $L^2(a, b; Y)$  if there exists  $v \in L^2(a, b; Y)$  such that

$$\langle u', \eta \rangle := - \int_a^b u(t) \eta'(t) dt = \int_a^b v(t) \eta(t) dt$$

for  $\eta \in C_c^\infty((a, b))$  (cf. [DL92]).

### 3. THE FORWARD PROBLEM OF NONLOCAL DIFFUSION EQUATION

In this section, we study the well-posedness of the initial exterior problem (1.2) with possibly nonzero initial condition  $u_0$  and the properties of the related DN maps. We start by setting up the relevant bilinear forms and then define the notion of solutions used throughout this article, which is in parallel to the theory developed for second order parabolic equations (see e.g. [LSU88, Lad85]).

**Definition 3.1** (Definition of bilinear forms and conductivity matrix). *Let  $\Omega \subset \mathbb{R}^n$  be an open set,  $0 < s < \min(1, n/2)$ ,  $\gamma \in L^\infty(\mathbb{R}_T^n)$ . Then we define the conductivity matrix associated to  $\gamma$  by*

$$\Theta_\gamma: \mathbb{R}^{2n} \times (0, T) \rightarrow \mathbb{R}^{n \times n}, \quad \Theta_\gamma(x, y, t) := \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \mathbf{1}_{n \times n}$$

for  $x, y \in \mathbb{R}^n$ ,  $0 < t < T$  and the following time-dependent bilinear form for the fractional conductivity operator

$$(3.1) \quad \begin{aligned} B_\gamma(t; \cdot, \cdot): H^s(\mathbb{R}^n) \times H^s(\mathbb{R}^n) &\rightarrow \mathbb{R}, \\ B_\gamma(t; u, v) &:= \int_{\mathbb{R}^{2n}} \Theta_\gamma(t) \nabla^s u \cdot \nabla^s v \, dx dy. \end{aligned}$$

Moreover, if  $m_\gamma \in L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))$ , where  $m_\gamma$  denotes the background deviation

$$(3.2) \quad m_\gamma := \gamma^{1/2} - 1 \text{ in } \mathbb{R}_T^n,$$

then we let  $q_\gamma(t): H^s(\mathbb{R}^n) \times H^s(\mathbb{R}^n) \rightarrow \mathbb{R}$  be defined by

$$\langle q_\gamma(t) u, v \rangle := - \left\langle \frac{(-\Delta)^s m_\gamma}{\gamma^{1/2}} u, v \right\rangle_{L^2(\mathbb{R}^n)},$$

for  $u, v \in H^s(\mathbb{R}^n)$ . In this case we define the time-dependent bilinear form for the related fractional Schrödinger operator with potential  $q_\gamma$ :

$$\begin{aligned} B_{q_\gamma}(t; \cdot, \cdot): H^s(\mathbb{R}^n) \times H^s(\mathbb{R}^n) &\rightarrow \mathbb{R}, \\ B_{q_\gamma}(t; u, v) &:= \int_{\mathbb{R}^n} (-\Delta)^{s/2} u (-\Delta)^{s/2} v \, dx + \int_{\mathbb{R}^n} q_\gamma(t) uv \, dx \end{aligned}$$

for all  $u, v \in H^s(\mathbb{R}^n)$ .

**Remark 3.2.** *If no confusion can arise we will drop the subscript  $\gamma$  in the definition for the conductivity matrix  $\Theta_\gamma(t)$ . Moreover, the boundedness and coercivity of these bilinear forms is established in Lemma 3.3.*

**Lemma 3.3.** *Let  $0 < s < \min(1, n/2)$  and suppose  $\gamma = \gamma(x, t) \in L^\infty(\mathbb{R}_T^n)$  is uniformly elliptic satisfying (1.3). If the background deviation  $m_\gamma$  of  $\gamma$  satisfies  $m_\gamma \in L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))$ , then there exists  $C > 0$  such that*

$$(3.3) \quad |B_\gamma(t; u, v)| \leq \|\gamma\|_{L^\infty(\mathbb{R}_T^n)} \|u\|_{H^s(\mathbb{R}^n)} \|v\|_{H^s(\mathbb{R}^n)}$$

and

$$|B_{q_\gamma}(t; u, v)| \leq C \|u\|_{H^s(\mathbb{R}^n)} \|v\|_{H^s(\mathbb{R}^n)}.$$

Moreover, if  $\Omega \subset \mathbb{R}^n$  is an open set which is bounded in one direction, then the bilinear form  $B_\gamma(t; \cdot, \cdot)$  is uniformly coercive over  $\tilde{H}^s(\Omega)$ , that is, there exists  $c > 0$  such that

$$(3.4) \quad B_\gamma(t; u, u) \geq c \|u\|_{H^s(\mathbb{R}^n)}^2$$

for all  $u \in H^s(\mathbb{R}^n)$  and a.e.  $0 < t < T$ .

*Proof.* Throughout the proof we will write  $m$  and  $q$  instead of  $m_\gamma, q_\gamma$ . The estimate (3.3) follows immediately from (2.1). Next note that by [RZ22b, Lemma 8.3], the uniform ellipticity of  $\gamma$  and the boundedness of the fractional Laplacian there holds

$$\begin{aligned} |B_q(t; u, v)| &\leq C \left(1 + \|q(t)\|_{L^{\frac{n}{2s}}(\mathbb{R}^n)}\right) \|u\|_{H^s(\mathbb{R}^n)} \|v\|_{H^s(\mathbb{R}^n)} \\ &\leq C \left(1 + \|m(t)\|_{H^{2s, \frac{n}{2s}}(\mathbb{R}^n)}\right) \|u\|_{H^s(\mathbb{R}^n)} \|v\|_{H^s(\mathbb{R}^n)} \\ &\leq C \gamma_0^{1/2} \left(1 + \|m\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))}\right) \|u\|_{H^s(\mathbb{R}^n)} \|v\|_{H^s(\mathbb{R}^n)}. \end{aligned}$$

The uniform coercivity estimate (3.4) of  $B_\gamma(t; \cdot, \cdot)$  follows by the uniform ellipticity of  $\gamma$ , (2.1) and the Poincaré inequality, cf. Proposition 2.2.  $\square$

**Definition 3.4** (Weak solutions). *Let  $\Omega \subset \mathbb{R}^n$  be an open set,  $0 < T < \infty$ ,  $0 < s < 1$  and assume that  $\gamma \in L^\infty(\mathbb{R}_T^n)$  is uniformly elliptic. Let  $u_0 \in L^2(\Omega)$ ,  $f \in L^2(0, T; H^s(\mathbb{R}^n))$  and  $F \in L^2(0, T; H^{-s}(\Omega))$ .*

(i) *We say that  $u \in L^2(0, T; H^s(\mathbb{R}^n))$  solves the nonlocal diffusion equation*

$$(3.5) \quad \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = F \quad \text{in } \Omega_T,$$

*if the equation is satisfied in the sense of distributions, that is, there holds*

$$\mathbf{B}_\gamma(u, \varphi) := - \int_{\Omega_T} u \partial_t \varphi \, dx dt + \int_0^T B_\gamma(t; u, \varphi) \, dt = \langle F, \varphi \rangle$$

*for all  $\varphi \in C_c^\infty(\Omega_T)$ , where  $\langle \cdot, \cdot \rangle$  denotes the natural duality pairing.*

(ii) *We say that  $u \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  solves*

$$(3.6) \quad \begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = F & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = u_0 & \text{in } \Omega, \end{cases}$$

*if the exterior value  $f$  is attained in the sense  $u - f \in L^2(0, T; \tilde{H}^s(\Omega))$  and there holds*

$$\mathbf{B}_\gamma(u, \varphi) = \langle F, \varphi \rangle + \int_\Omega u_0(x) \varphi(x, 0) \, dx$$

*for all  $\varphi \in C_c^\infty(\Omega \times [0, T])$ .*

**Remark 3.5.** *Let us briefly explain why we prescribed the initial condition in  $L^2(\Omega)$  and not in  $L^2(\mathbb{R}^n)$ . One knows that there holds  $(\tilde{H}^s(\Omega))^* = H^{-s}(\Omega)$  for any  $s \in \mathbb{R}$  and any open set  $\Omega \subset \mathbb{R}^n$ . On the other hand, by density of  $C_c^\infty(\Omega_T)$  in  $L^2(0, T; \tilde{H}^s(\Omega))$  the equation (3.5) implies that  $\partial_t u$  can be identified with an element in  $L^2(0, T; H^{-s}(\Omega))$ . By the trace theorem [DL92, Chapter XVIII, Section 1.2, Theorem 1] this implies  $u \in C([0, T]; L^2(\Omega))$ . Thus,  $u \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  is a solution to (3.6) if and only if  $u \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t u \in L^2(0, T; H^{-s}(\Omega))$  solves (3.5) in the sense of distributions,  $u - f \in L^2(0, T; \tilde{H}^s(\Omega))$  and there holds  $u(0) = u_0$  in the sense of traces. By approximation one sees that  $u \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  is a solution of (3.5) if and only if  $u \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t u \in L^2(0, T; H^{-s}(\Omega))$  satisfies*

$$\langle \partial_t u, \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} + B_\gamma(t; u, \varphi) = \langle F(t), \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)}$$

for all  $\varphi \in \tilde{H}^s(\Omega)$  in the sense of distributions on  $(0, T)$ ,  $u(0) = u_0$  and  $u - f \in L^2(0, T; \tilde{H}^s(\Omega))$ .

**Theorem 3.6** (Well-posedness of the forward problem). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$  and assume that  $\gamma \in L^\infty(\mathbb{R}_T^n)$  is uniformly elliptic. Assume that  $F \in L^2(0, T; H^{-s}(\Omega))$ ,  $f \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t f \in L^2(0, T; H^{-s}(\mathbb{R}^n))$  and  $u_0 \in L^2(\Omega)$ .*

- (i) *Then there exists a unique solution  $u \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  and  $\partial_t u \in L^2(0, T; H^{-s}(\Omega))$  of*

$$(3.7) \quad \begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = F & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = u_0 & \text{in } \Omega \end{cases}$$

*satisfying the energy estimate*

$$(3.8) \quad \begin{aligned} & \|u - f\|_{L^\infty(0, T; L^2(\Omega))}^2 + \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 + \|\partial_t(u - f)\|_{L^2(0, T; H^{-s}(\Omega))}^2 \\ & \leq C \left( \|u_0\|_{L^2(\Omega)}^2 + \|f(0)\|_{L^2(\Omega)}^2 + \|F\|_{L^2(0, T; H^{-s}(\Omega))}^2 + \|\partial_t f\|_{L^2(0, T; H^{-s}(\Omega))}^2 \right. \\ & \quad \left. + \|\operatorname{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(0, T; H^{-s}(\Omega))}^2 \right), \end{aligned}$$

*for some constant  $C > 0$  independent of  $F, f$  and  $u_0$ .*

- (ii) *If additionally the conductivity  $\gamma$  satisfies  $m_\gamma \in L^\infty(0, T; H^{4s, \frac{n}{2s}}(\mathbb{R}^n))$  with  $\partial_t \gamma \in L^\infty(\mathbb{R}_T^n)$ ,  $F \in L^2(\Omega_T)$ ,  $f \in H^1(0, T; L^2(\mathbb{R}^n)) \cap L^2(0, T; H^{2s}(\mathbb{R}^n))$  and  $u_0 \in H^s(\mathbb{R}^n)$  such that  $u_0 - f(0) \in \tilde{H}^s(\Omega)$  then the unique solution  $u$  to (3.7) satisfies  $u \in L^\infty(0, T; H^s(\mathbb{R}^n))$ ,  $\partial_t u \in L^2(\Omega_T)$  and*

$$(3.9) \quad \begin{aligned} & \|\partial_t(u - f)\|_{L^2(\Omega_T)}^2 + \|u - f\|_{L^\infty(0, T; H^s(\mathbb{R}^n))}^2 \\ & \leq C \left( \|u_0\|_{H^s(\mathbb{R}^n)}^2 + \|F\|_{L^2(\Omega_T)}^2 \right. \\ & \quad \left. + \|f(0)\|_{H^s(\mathbb{R}^n)}^2 + \|\partial_t f\|_{L^2(\Omega_T)}^2 + \|f\|_{L^2(0, T; H^{2s}(\mathbb{R}^n))}^2 \right), \end{aligned}$$

*for some  $C > 0$  independent of the data  $F, f$  and  $u_0$ .*

**Remark 3.7.** *If  $F = u_0 = 0$ , let us denote the unique solution of (3.7) by  $u_f$  for simplicity.*

*Proof of Theorem 3.6.* (i): By the regularity assumptions on the exterior value  $f$  and the trace theorem [DL92, Chapter XVIII, Section 1.2, Theorem 2], we see that  $u \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  is a solution of (3.7) if and only if

$\tilde{u} := u - f \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  solves the homogeneous problem

$$(3.10) \quad \begin{cases} \partial_t \tilde{u} + \operatorname{div}_s(\Theta_\gamma \nabla^s \tilde{u}) = \tilde{F} & \text{in } \Omega_T, \\ \tilde{u} = 0 & \text{in } (\Omega_e)_T, \\ \tilde{u}(0) = \tilde{u}_0 & \text{in } \Omega, \end{cases}$$

with  $\tilde{u}_0 := u_0 - f(0) \in L^2(\Omega)$  and

$$\tilde{F} := F - \partial_t f - \operatorname{div}_s(\Theta_\gamma \nabla^s f) \in L^2(0, T; H^{-s}(\Omega)).$$

Note that by Remark 3.5 this means that  $\tilde{u} \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; \tilde{H}^s(\Omega))$  satisfies

$$\langle \partial_t \tilde{u}, \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} + B_\gamma(t; \tilde{u}, \varphi) = \langle \tilde{F}(t), \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)}$$

for all  $\varphi \in \tilde{H}^s(\Omega)$  in the sense of distributions on  $(0, T)$ ,  $\tilde{u}(0) = \tilde{u}_0$ . Now one can construct the solution  $\tilde{u}$  by the classical Galerkin approximation. In fact, using Lemma 3.3 we deduce from [DL92, Chapter XVIII, Section 3.1-3.2, Theorem 1 and 2] that this problem has a unique solution  $\tilde{u} \in L^2(0, T; \tilde{H}^s(\Omega))$  with  $\partial_t \tilde{u} \in L^2(0, T; H^{-s}(\Omega))$ . Hence, our solution to the original problem is  $u = \tilde{u} + f$ .

Next we prove that this solution is the unique solution to (3.7). Assume there are two solutions  $u, v \in L^\infty(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  to (3.7) then  $w := u - v$  solves

$$\begin{cases} \partial_t w + \operatorname{div}_s(\Theta_\gamma \nabla^s w) = 0 & \text{in } \Omega_T, \\ w = 0 & \text{in } (\Omega_e)_T, \\ w(0) = 0 & \text{in } \Omega. \end{cases}$$

But this means by approximation and integration by parts that there holds

$$\int_0^T \langle \partial_t w, \varphi \rangle \eta \, dt + \int_0^T B_\gamma(w, \varphi) \eta \, dt = 0$$

for all  $\varphi \in \tilde{H}^s(\Omega)$ ,  $\eta \in C_c^\infty((0, T))$  and hence

$$\langle \partial_t w, \varphi \rangle + B_\gamma(w, \varphi) = 0$$

for a.e.  $t \in (0, T)$ . Hence, replacing  $\varphi$  by  $w(t) \in \tilde{H}^s(\Omega)$  and integrating the resulting equation over  $(0, T)$  gives

$$\frac{\|w(T)\|_{L^2(\Omega)}^2}{2} + \int_0^T B_\gamma(w, w) \, dt = 0.$$

Here we used  $w(0) = 0$  in  $\Omega$  and the integration by parts formula in Banach spaces. Using the uniform ellipticity of  $\gamma$  and Poincaré's inequality it follows that  $w = 0$  and therefore  $u = v$  in  $\mathbb{R}_T^n$ .

Next we show the energy estimate (3.8). By [DL92, eq. (3.70)] there holds

$$\begin{aligned} & \frac{\|(u - f)(t)\|_{L^2(\Omega)}^2}{2} + \int_0^t \int_{\mathbb{R}^{2n}} \Theta_\gamma \nabla^s(u - f)(\tau) \cdot \nabla^s(u - f)(\tau) \, dx dy d\tau \\ &= \frac{\|u_0 - f(0)\|_{L^2(\Omega)}^2}{2} + \int_0^t \langle \tilde{F}(\tau), (u - f)(\tau) \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} \, d\tau \end{aligned}$$

for all  $t \in (0, T)$ . The right hand side can be estimated as

$$\begin{aligned}
& \frac{\|u_0 - f(0)\|_{L^2(\Omega)}^2}{2} + \int_0^t \langle \tilde{F}(\tau), (u - f)(\tau) \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} d\tau \\
(3.11) \quad & \leq C(\|u_0\|_{L^2(\Omega)}^2 + \|f(0)\|_{L^2(\Omega)}^2) + \|\tilde{F}\|_{L^2(0, T; H^{-s}(\Omega))} \|u - f\|_{L^2(0, T; \tilde{H}^s(\Omega))} \\
& \leq C(\|u_0\|_{L^2(\Omega)}^2 + \|f(0)\|_{L^2(\Omega)}^2) + (\|F\|_{L^2(0, T; H^{-s}(\Omega))} + \|\partial_t f\|_{L^2(0, T; H^{-s}(\Omega))} \\
& \quad + \|\operatorname{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(0, T; H^{-s}(\Omega))}) \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}.
\end{aligned}$$

On the other hand using the uniform ellipticity of  $\gamma$  and the fractional Poincaré inequality, the left hand side of (3.8) can be bounded from below by

$$\begin{aligned}
& \frac{\|(u - f)(t)\|_{L^2(\Omega)}^2}{2} + \int_0^t \int_{\mathbb{R}^{2n}} \Theta_\gamma \nabla^s(u - f)(\tau) \cdot \nabla^s(u - f)(\tau) dx dy d\tau \\
(3.12) \quad & \geq \frac{\|(u - f)(t)\|_{L^2(\Omega)}^2}{2} + c \|\nabla^s(u - f)\|_{L^2(0, t; L^2(\mathbb{R}^{2n}))}^2, \\
& \geq \frac{\|(u - f)(t)\|_{L^2(\Omega)}^2}{2} + c \|(-\Delta)^{s/2}(u - f)\|_{L^2(0, t; L^2(\mathbb{R}^n))}^2 \\
& \geq c \left( \|(u - f)(t)\|_{L^2(\Omega)}^2 + \|u - f\|_{L^2(0, t; H^s(\mathbb{R}^n))}^2 \right).
\end{aligned}$$

Hence, combining (3.11) and (3.12) we deduce

$$\begin{aligned}
& \|u - f\|_{L^\infty(0, T; L^2(\Omega))}^2 + \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \\
& \leq C \left( \|u_0\|_{L^2(\Omega)}^2 + \|f(0)\|_{L^2(\Omega)}^2 \right) + C \left( \|F\|_{L^2(0, T; H^{-s}(\Omega))} + \|\partial_t f\|_{L^2(0, T; H^{-s}(\Omega))} \right. \\
& \quad \left. + \|\operatorname{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(0, T; H^{-s}(\Omega))} \right) \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}.
\end{aligned}$$

Next, recall that for all  $\epsilon > 0$  and  $a, b \in \mathbb{R}$  there holds the estimate  $ab \leq \epsilon a^2 + C_\epsilon b^2$ , where  $C_\epsilon > 0$ . Hence, after absorbing the term  $\epsilon \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2$  on the left hand side we obtain

$$\begin{aligned}
& \|u - f\|_{L^\infty(0, T; L^2(\Omega))}^2 + \|u - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \\
& \leq C \left( \|u_0\|_{L^2(\Omega)}^2 + \|f(0)\|_{L^2(\Omega)}^2 + \|F\|_{L^2(0, T; H^{-s}(\Omega))}^2 + \|\partial_t f\|_{L^2(0, T; H^{-s}(\Omega))}^2 \right. \\
& \quad \left. + \|\operatorname{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(0, T; H^{-s}(\Omega))}^2 \right).
\end{aligned}$$

Now, by the equation again, one knows that

$$\langle \partial_t(u - f), \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} + B_\gamma(t; u - f, \varphi) = \langle \tilde{F}, \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)},$$

for any  $\varphi \in \tilde{H}^s(\Omega)$  and a.e.  $t \in (0, T)$ . Consequently,

$$\left| \langle \partial_t(u - f), \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} \right| \leq |B_\gamma(t; u - f, \varphi)| + \left| \langle \tilde{F}, \varphi \rangle_{H^{-s}(\Omega) \times \tilde{H}^s(\Omega)} \right|,$$

Integrating from 0 to  $T$  and using  $(L^2(0, T; \tilde{H}^s(\Omega)))^* = L^2(0, T; H^{-s}(\Omega))$ , one can conclude that  $\partial_t(u - f) \in L^2(0, T; H^{-s}(\Omega))$ . Since  $\partial_t f \in L^2(0, T; H^{-s}(\Omega))$  we obtain  $\partial_t u \in L^2(0, T; H^{-s}(\Omega))$  as desired.

(ii): First we show that  $\operatorname{div}_s(\Theta_\gamma \nabla^s f) \in L^2(\Omega_T)$ . More concretely, we prove that there holds

$$\left| \int_0^T \langle \operatorname{div}_s(\Theta_\gamma \nabla^s f), \varphi \rangle dt \right| \leq C \|f\|_{L^2(0, T; H^{2s}(\mathbb{R}^n))} \|\varphi\|_{L^2(\Omega_T)}$$

for all  $\varphi \in C_c^\infty(\Omega_T)$  and some  $C > 0$  independent of  $\varphi$ . This gives already the claim as then by density  $\operatorname{div}_s(\Theta_\gamma \nabla^s f)$  can be uniquely extended to an element in

$L^2(\Omega_T)$  such that

$$(3.13) \quad \|\operatorname{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(\Omega_T)} \leq C \|f\|_{L^2(0,T;H^{2s}(\mathbb{R}^n))}.$$

Using [RZ22b, Remark 8.8] in every time slice, we obtain

$$(3.14) \quad \begin{aligned} & \left| \int_0^T \langle \operatorname{div}_s(\Theta_\gamma \nabla^s f), \varphi \rangle dt \right| \\ &= \left| \int_0^T \langle \Theta_\gamma \nabla^s f, \nabla^s \varphi \rangle dt \right| \\ &= \left| \int_0^T \langle (-\Delta)^{s/2}(\gamma^{1/2} f), (-\Delta)^{s/2}(\gamma^{1/2} \varphi) \rangle + \langle q_\gamma(\gamma^{1/2} f), \gamma^{1/2} \varphi \rangle dt \right|. \end{aligned}$$

Now note that by [RZ22b, Corollary A.7] we have  $\gamma^{1/2} \varphi \in H^s(\mathbb{R}^n)$ .

On the other hand, choosing  $p_1 = \frac{n}{2s}, s_1 = 4s, p_2 = 2, r_2 = \frac{2n}{n-2s}$  as in [RZ22b, Lemma A.6], using the Sobolev embedding and the monotonicity of Bessel potential spaces, we deduce that  $m_\gamma f \in H^{2s}(\mathbb{R}^n)$  with

$$\begin{aligned} & \|m_\gamma f\|_{H^{2s}(\mathbb{R}^n)} \\ &\leq C \left( \|m_\gamma\|_{L^\infty(\mathbb{R}^n)} \|f\|_{H^{2s}(\mathbb{R}^n)} + \|f\|_{L^{\frac{2n}{n-2s}}(\mathbb{R}^n)} \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}^{1/2} \right) \\ &\leq C \|m_\gamma\|_{L^\infty(\mathbb{R}^n)} \left( 1 + \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \right) \|f\|_{H^{2s}(\mathbb{R}^n)}. \end{aligned}$$

This in turn shows  $\gamma^{1/2} f \in H^{2s}(\mathbb{R}^n)$  for a.e.  $t \in (0, T)$  with

$$(3.15) \quad \|\gamma^{1/2} f\|_{H^{2s}(\mathbb{R}^n)} \leq (1 + \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}) \left( 1 + \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \right) \|f\|_{H^{2s}(\mathbb{R}^n)}.$$

Additionally, by the Gagliardo–Nirenberg inequality in Bessel potential spaces and the Sobolev embedding (cf. [CRTZ22, eq. (18)]), we have

$$\|m_\gamma\|_{H^{2s, n/s}(\mathbb{R}^n)} \leq C \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}^{1/2}$$

and thus  $(-\Delta)^s m_\gamma \in L^{n/s}(\mathbb{R}^n)$ . Applying Hölder's inequality with  $p_1 = n/s, p_2 = \frac{2n}{n-2s}, p_3 = 2$ , we can estimate

$$(3.16) \quad \begin{aligned} & \|q_\gamma(\gamma^{1/2} f) \gamma^{1/2} \varphi\|_{L^1(\mathbb{R}^n)} \\ &\leq \|q_\gamma\|_{L^{n/s}(\mathbb{R}^n)} \|\gamma^{1/2} f\|_{L^{\frac{2n}{n-2s}}(\mathbb{R}^n)} \|\gamma^{1/2} \varphi\|_{L^2(\mathbb{R}^n)} \\ &\leq C \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \|m_\gamma\|_{L^\infty(\mathbb{R}^n)} \|\gamma^{1/2} f\|_{H^s(\mathbb{R}^n)} \|\varphi\|_{L^2(\mathbb{R}^n)} \\ &\leq C \left( 1 + \|m_\gamma\|_{H^{4s, \frac{n}{2s}}(\mathbb{R}^n)}^{1/2} \right) \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}^2 \left( 1 + \|m_\gamma\|_{H^{2s, n/2s}(\mathbb{R}^n)}^{1/2} \right) \\ &\quad \cdot \|f\|_{H^s(\mathbb{R}^n)} \|\varphi\|_{L^2(\mathbb{R}^n)} \end{aligned}$$

where in the third inequality we again used [RZ22b, Corollary A.7]. Now using  $\gamma^{1/2} f \in H^{2s}(\mathbb{R}^n), \gamma^{1/2} \varphi \in H^s(\mathbb{R}^n)$  and the estimates (3.15), (3.16), we obtain by Hölder's inequality from (3.14) the bound

$$\begin{aligned} \left| \int_0^T \langle \operatorname{div}_s(\Theta_\gamma \nabla^s f), \varphi \rangle dt \right| &= \left| \int_0^T \langle (-\Delta)^s(\gamma^{1/2} f), \gamma^{1/2} \varphi \rangle + \langle q_\gamma(\gamma^{1/2} f), \gamma^{1/2} \varphi \rangle dt \right| \\ &\leq C \left( 1 + \|\gamma\|_{L^\infty(\mathbb{R}_T^n)} \right) \left( 1 + \|m_\gamma\|_{L^\infty(0,T;H^{4s, \frac{n}{2s}}(\mathbb{R}^n))} \right) \\ &\quad \cdot \|f\|_{L^2(0,T;H^{2s}(\mathbb{R}^n))} \|\varphi\|_{L^2(\Omega_T)}. \end{aligned}$$

On the other hand by definition there holds  $u_0 - f(0) \in \tilde{H}^s(\Omega)$ . Hence, if we set as above  $\tilde{u} = u - f$ , then we see that it solves (3.10) with  $\tilde{u}_0 := u_0 - f(0) \in \tilde{H}^s(\Omega)$  and

$$(3.17) \quad \tilde{F} := F - \partial_t f - \operatorname{div}_s(\Theta_\gamma \nabla^s f) \in L^2(\Omega_T).$$

Now we proceed similarly as in [Eva10, Chapter 7, Theorem 5]. For this purpose let us recall how the unique solution  $\tilde{u}$  in [DL92, Chapter XVIII, Section 3.1-3.2, Theorem 1 and 2] is constructed. Since  $\tilde{H}^s(\Omega)$  is a separable Hilbert space, the finite dimensional subspaces

$$\tilde{H}_m^s := \operatorname{span}\{w_1, \dots, w_m\}$$

for  $m \in \mathbb{N}$ , where  $(w_k)_{k \in \mathbb{N}} \subset \tilde{H}^s(\Omega)$  is an orthonormal basis of  $\tilde{H}^s(\Omega)$ , form a Galerkin approximation for  $\tilde{H}^s(\Omega)$ . Observe by density of  $\tilde{H}^s(\Omega)$  in  $L^2(\Omega)$  the family  $(\tilde{H}_m^s)_{m \in \mathbb{N}}$  are also a Galerkin approximation for  $L^2(\Omega)$ . By [DL92, Chapter XVIII, Section 3.1-3.2, Lemma 1] there are unique solutions  $\tilde{u}_m \in C([0, T]; \tilde{H}_m^s)$  with  $\partial_t \tilde{u}_m \in L^2(0, T; \tilde{H}_m^s)$  and

$$(3.18) \quad \langle \partial_t \tilde{u}_m, w_j \rangle + B_\gamma(t; \tilde{u}_m, w_j) = \langle \tilde{F}, w_j \rangle$$

for all  $1 \leq j \leq m$  and a.e.  $t \in (0, T)$ , where  $\tilde{u}_0^m \in \tilde{H}_m^s$  are chosen in such a way that  $\tilde{u}_0^m \rightarrow \tilde{u}_0$  in  $L^2(\Omega)$ .

In fact, the solutions  $\tilde{u}_m$  can be written in the form

$$\tilde{u}_m = \sum_{j=1}^m c_m^j w_j.$$

Here  $c_m = (c_m^1, \dots, c_m^m)$  are absolutely continuous functions and solve

$$A_m \partial_t c_m + B_m(t) c_m = \tilde{F}_m(t), \quad c_m(0) = \tilde{u}_0^m,$$

where  $A_m := (\langle w_i, w_j \rangle)_{1 \leq i, j \leq m}$ ,  $B_m(t) := (B_\gamma(t; w_i, w_j))_{1 \leq i, j \leq m}$  and  $\tilde{F}_m(t) = (\langle \tilde{F}(t), w_j \rangle)_{1 \leq j \leq m}$ . We have  $\|\tilde{u}_0^m\|_{L^2(\Omega)} \leq c \|\tilde{u}_0\|_{L^2(\Omega)}$  for some constant independent of  $m$ . Next observe that if  $\tilde{u}_0 \in \tilde{H}^s(\Omega)$ , as in our case, then we can take

$$\tilde{u}_0^m = \sum_{j=1}^m \langle \tilde{u}_0, w_j \rangle w_j \in \tilde{H}_m^s$$

and see that  $\tilde{u}_0^m \rightarrow \tilde{u}_0$  in  $H^s(\mathbb{R}^n)$  as  $m \rightarrow \infty$ . Moreover, this convergence implies

$$(3.19) \quad \|\tilde{u}_0^m\|_{H^s(\mathbb{R}^n)} \leq c \|\tilde{u}_0\|_{H^s(\mathbb{R}^n)}$$

for some  $c > 0$  independent of  $m$ . Now fix  $m \in \mathbb{N}$ , multiply (3.18) by  $c_m^j$  and sum  $j$  over  $\{1, \dots, m\}$  to obtain

$$\langle \partial_t \tilde{u}_m, \partial_t \tilde{u}_m \rangle_{L^2(\Omega)} + B_\gamma(t; \tilde{u}_m, \partial_t \tilde{u}_m) = \langle \tilde{F}, \partial_t \tilde{u}_m \rangle_{L^2(\Omega)},$$

where we used (3.17) and  $\partial_t \tilde{u}_m \in \tilde{H}_m^s \subset L^2(\Omega)$ .

Observe that there holds

$$\begin{aligned} \partial_t B_\gamma(t; \tilde{u}_m, \tilde{u}_m) &= 2B_\gamma(t; \tilde{u}_m, \partial_t \tilde{u}_m) + \int_{\mathbb{R}^{2n}} \left[ (\partial_t \gamma^{1/2}(x, t)) \gamma^{1/2}(y, t) \right. \\ &\quad \left. + \gamma^{1/2}(x, t) \partial_t \gamma^{1/2}(y, t) \right] \nabla^s \tilde{u}_m \cdot \nabla^s \tilde{u}_m \, dx dy. \end{aligned}$$

Hence, using the uniform ellipticity of  $\gamma$ , the Cauchy–Schwartz inequality and Young’s inequality show

$$\begin{aligned} &\|\partial_t \tilde{u}_m\|_{L^2(\Omega)}^2 + \partial_t B_\gamma(t; \tilde{u}_m, \tilde{u}_m) \\ &\leq C \left( \|\partial_t \gamma\|_{L^\infty(\mathbb{R}_T^n)} \|\gamma\|_{L^\infty(\mathbb{R}_T^n)}^{1/2} \|\tilde{u}_m\|_{H^s(\mathbb{R}^n)}^2 + \epsilon^{-1} \|\tilde{F}\|_{L^2(\Omega)}^2 \right) + \epsilon \|\partial_t \tilde{u}_m\|_{L^2(\Omega)}^2 \end{aligned}$$

for some  $C > 0$  only depending on the ellipticity constant  $\gamma_0$  and all  $\epsilon > 0$ . Taking  $\epsilon = 1/2$ , we can absorb the last term on the left hand side and after integrating over  $(0, t) \subset (0, T)$ , we obtain

$$\begin{aligned} & \|\partial_t \tilde{u}_m\|_{L^2(\Omega_t)}^2 + B_\gamma(t; \tilde{u}_m, \tilde{u}_m) \\ & \leq B_\gamma(0; \tilde{u}_m, \tilde{u}_m) + C \left( \|\partial_t \gamma\|_{L^\infty(\mathbb{R}_T^n)} \|\gamma\|_{L^\infty(\mathbb{R}_T^n)}^{1/2} \|\tilde{u}_m\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 + \|\tilde{F}\|_{L^2(\Omega_T)}^2 \right). \end{aligned}$$

Taking the supremum over  $(0, T)$ , using the uniform ellipticity of  $\gamma$  and (3.19) we get

$$\begin{aligned} & \|\partial_t \tilde{u}_m\|_{L^2(\Omega_T)}^2 + \|\tilde{u}_m\|_{L^\infty(0, T; H^s(\mathbb{R}^n))}^2 \\ & \leq C \left( \|\gamma\|_{L^\infty(\mathbb{R}_T^n)} \|\tilde{u}_0\|_{H^s(\mathbb{R}^n)}^2 \right. \\ & \quad \left. + \|\partial_t \gamma\|_{L^\infty(\mathbb{R}_T^n)} \|\gamma\|_{L^\infty(\mathbb{R}_T^n)}^{1/2} \|\tilde{u}_m\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 + \|\tilde{F}\|_{L^2(\Omega_T)}^2 \right). \end{aligned}$$

Now the term  $\|\tilde{u}_m\|_{L^2(0, T; H^s(\mathbb{R}^n))}$  can be bounded from above using the energy estimate

$$\|\tilde{u}_m\|_{L^\infty(0, T; L^2(\Omega))}^2 + \|\tilde{u}_m\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \leq C \left( \|\tilde{u}_0\|_{L^2(\Omega)}^2 + \|\tilde{F}\|_{L^2(\Omega_T)}^2 \right)$$

(cf. [DL92, Chapter XVIII, Section 3.2, eq. (3.40)]) for some  $C > 0$  only depending on  $\gamma_0$ . This then gives

$$\|\partial_t \tilde{u}_m\|_{L^2(\Omega_T)}^2 + \|\tilde{u}_m\|_{L^\infty(0, T; H^s(\mathbb{R}^n))}^2 \leq C \left( \|\tilde{u}_0\|_{H^s(\mathbb{R}^n)}^2 + \|\tilde{F}\|_{L^2(\Omega_T)}^2 \right)$$

for some  $C > 0$  only depending on  $\gamma$ .

By [DL92, Chapter XVIII, Section 3.3, Lemma 3] we know that up to subsequences there holds

- (i)  $\tilde{u}_m \rightharpoonup \tilde{u}$  in  $L^2(0, T; H^s(\mathbb{R}^n))$
- (ii) and  $\tilde{u}_m \overset{*}{\rightharpoonup} \tilde{u}$  in  $L^\infty(0, T; L^2(\Omega))$

as  $m \rightarrow \infty$ . But [Eva10, Chapter 7, Problem 6] then implies (up to extracting possibly a further subsequence) that  $\partial_t \tilde{u} \in L^2(\Omega_T)$  and  $\tilde{u} \in L^\infty(0, T; H^s(\mathbb{R}^n))$  with

$$\begin{aligned} & \|\partial_t \tilde{u}\|_{L^2(\Omega_T)}^2 + \|\tilde{u}\|_{L^\infty(0, T; H^s(\mathbb{R}^n))}^2 \\ & \leq C \left( \|\tilde{u}_0\|_{H^s(\mathbb{R}^n)}^2 + \|\tilde{F}\|_{L^2(\Omega_T)}^2 \right) \\ & \leq C \left( \|u_0\|_{H^s(\mathbb{R}^n)}^2 + \|f(0)\|_{H^s(\mathbb{R}^n)}^2 + \|F\|_{L^2(\Omega_T)}^2 \right. \\ & \quad \left. + \|\partial_t f\|_{L^2(\Omega_T)}^2 + \|f\|_{L^2(0, T; H^{2s}(\mathbb{R}^n))}^2 \right), \end{aligned}$$

where  $C > 0$  only depends on  $\gamma$ . Here we finally used the definition of  $\tilde{u}_0$ ,  $\tilde{F}$  and (3.13). This establishes the estimate (3.9) and we can conclude the proof.  $\square$

Because of this well-posedness result we make the following definition:

**Definition 3.8.** *Let  $\Omega \subset \mathbb{R}^n$  be an open set,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$  and  $\gamma_0 > 0$ . Then we define the data spaces  $X_s(\Omega_T)$ ,  $\tilde{X}_s(\Omega_T)$  and the class of admissible conductivities  $\Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  by*

$$\begin{aligned} X_s(\Omega_T) & := \{(f, u_0) \in L^2(0, T; H^{2s}(\mathbb{R}^n)) \times H^s(\mathbb{R}^n); \\ & \quad \partial_t f \in L^2(0, T; L^2(\mathbb{R}^n)), u_0 - f(0) \in \tilde{H}^s(\Omega)\}, \\ \tilde{X}_s(\Omega_T) & := \{f \in L^2(0, T; H^{2s}(\mathbb{R}^n)); \partial_t f \in L^2(0, T; L^2(\mathbb{R}^n)), f(0) = 0\}, \end{aligned}$$

and

$$(3.20) \quad \Gamma_{s,\gamma_0}(\mathbb{R}^n) := \left\{ \gamma \in C_t^1 C_x(\mathbb{R}^n); \gamma \text{ satisfies (1.3), } \partial_t \gamma \in L^\infty(\mathbb{R}^n), \right. \\ \left. \text{and } m_\gamma \in C([0, T]; H^{4s+\varepsilon, \frac{n}{2s}}(\mathbb{R}^n)) \text{ for some } \varepsilon > 0 \right\}.$$

Here, the space  $C_t^k C_x^\ell(\mathbb{R}^n)$ ,  $k, \ell \in \mathbb{N}_0$ , consists of all functions which are  $k$ -times continuously differentiable in the time variable  $t$  and  $\ell$ -times in the space variable  $x$ .

With this notation at hand, the above theorem can be rewritten as the following:

**Corollary 3.9.** *Let  $\Omega \subset \mathbb{R}^n$  be an open set,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$  and  $\gamma_0 > 0$ . Then for all  $(f, u_0) \in X_s(\Omega_T)$  and  $\gamma \in \Gamma_{s,\gamma_0}(\mathbb{R}^n)$  there is a unique solution  $u_{f,u_0} \in L^\infty(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t u_{f,u_0} \in L^2(\Omega_T)$  satisfying*

$$\begin{aligned} & \|\partial_t(u_{f,u_0} - f)\|_{L^2(\Omega_T)}^2 + \|u_{f,u_0} - f\|_{L^\infty(0,T;H^s(\mathbb{R}^n))}^2 \\ & \leq C \left( \|u_0\|_{H^s(\mathbb{R}^n)}^2 + \|f(0)\|_{H^s(\mathbb{R}^n)}^2 + \|\partial_t f\|_{L^2(\Omega_T)}^2 + \|f\|_{L^2(0,T;H^{2s}(\mathbb{R}^n))}^2 \right), \end{aligned}$$

for some constant  $C > 0$  independent of  $f, u_0$  and  $u_{f,u_0}$ .

*Proof.* This is an immediate consequence of Theorem 3.6 by taking  $F = 0$ .  $\square$

With the well-posedness at hand, we can define the DN map (1.4), which was introduced in Section 1, rigorously. Similarly as in the nonlocal elliptic case (see [DROV17] or Appendix A) we define:

**Definition 3.10** (The DN map). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s,\gamma_0}(\mathbb{R}^n)$ . Then we define the DN map  $\Lambda_\gamma$  by*

$$(3.21) \quad \begin{aligned} \langle \Lambda_\gamma f, g \rangle & := \int_0^T B_\gamma(u_f, g) dt \\ & = \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n}} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \\ & \quad \cdot \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} dx dy dt \end{aligned}$$

for all  $f, g \in C_c^\infty((\Omega_e)_T)$ , where  $u_f$  is the unique solution of (1.2).

#### 4. EXTERIOR DETERMINATION

The main goal of this section is to prove Theorem 1.2. We first establish an energy estimate which allows us to deduce that the Dirichlet energies of suitable special solutions concentrate in the exterior.

**Lemma 4.1.** *Suppose that  $W \subset \Omega_e$  is an open nonempty set with finite measure and  $\text{dist}(W, \Omega) > 0$ . Let  $u_f$  be the unique solution to (3.7) with  $f \in C_c^\infty(W_T)$ ,  $F \equiv 0$  and  $u_0 \equiv 0$ . Then*

$$\|u_f - f\|_{L^\infty(0,T;L^2(\Omega))} + \|u_f - f\|_{L^2(0,T;H^s(\mathbb{R}^n))} \leq C \|f\|_{L^2(W_T)},$$

where the constant  $C > 0$  does not depend on  $f \in C_c^\infty(W_T)$ .

*Proof.* By applying the energy estimate (3.8) in Theorem 3.6, we obtain

$$\begin{aligned} & \|u_f - f\|_{L^\infty(0,T;L^2(\Omega))}^2 + \|u_f - f\|_{L^2(0,T;H^s(\mathbb{R}^n))}^2 \\ & \leq C \left( \|\partial_t f\|_{L^2(0,T;H^{-s}(\Omega))}^2 + \|\text{div}_s(\Theta_\gamma \nabla^s f)\|_{L^2(0,T;H^{-s}(\Omega))}^2 \right). \end{aligned}$$

Since,  $f$  is compactly supported in  $W_T \subset (\Omega_e)_T$  the first contribution in the above estimate is zero. By [RZ22c, Proof of Lemma 3.1] there holds

$$\|\operatorname{div}_s(\Theta_\gamma \nabla^s f)(t)\|_{H^{-s}(\Omega)} \leq C \|f(t)\|_{L^2(W)}$$

for a.e.  $t \in (0, T)$  and some  $C > 0$  only depending on  $n, s, W$  and  $\|\gamma\|_{L^\infty(\mathbb{R}_T^n)}$ . Hence, there holds

$$\|u_f - f\|_{L^\infty(0, T; L^2(\Omega))}^2 + \|u_f - f\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \leq C \|f\|_{L^2(W_T)}^2$$

and we can conclude the proof.  $\square$

*Proof of Theorem 1.2.* First, let  $\gamma$  denote either of the two diffusion coefficients  $\gamma_1$  or  $\gamma_2$ . Using the Sobolev embedding, we may assume that  $\gamma \in C_b(W_T)$ . By [CRZ22, Lemma 5.5], for any  $x_0 \in W$ , there exists  $(\phi_N)_{N \in \mathbb{N}} \subset C_c^\infty(W)$  such that  $\|\phi_N\|_{H^s(\mathbb{R}^n)} = 1$ ,  $\|\phi_N\|_{L^2(\mathbb{R}^n)} \rightarrow 0$  as  $N \rightarrow \infty$  and  $\operatorname{supp}(\phi_N) \rightarrow \{x_0\}$ . Moreover, [CRZ22, Proposition 1.5] implies that  $B_{\gamma(\cdot, t_0)}(\phi_N, \phi_N) \rightarrow \gamma(x_0, t_0)$  as  $N \rightarrow \infty$  for any  $t_0 \in (0, T)$ . Next let  $\eta \in C_c^\infty((0, T))$  and define  $\Phi_N := \eta \phi_N \in C_c^\infty(W_T)$ . It follows that

$$\int_0^T B_\gamma(\Phi_N, \Phi_N) dt = \int_0^T \eta^2(t) B_\gamma(\phi_N, \phi_N) dt.$$

By the dominated convergence theorem we obtain

$$(4.1) \quad \lim_{N \rightarrow \infty} \int_0^T B_\gamma(\Phi_N, \Phi_N) dt = \int_0^T \eta^2(t) \gamma(x_0, t) dt.$$

Let us now consider the solutions  $u_N$  to the equation

$$\begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = 0 & \text{in } \Omega_T, \\ u = \Phi_N & \text{in } (\Omega_e)_T, \\ u(x, 0) = 0 & \text{in } \Omega, \end{cases}$$

for  $N \in \mathbb{N}$ . By the definition of the DN map (3.21), we have

$$(4.2) \quad \begin{aligned} \langle \Lambda_\gamma \Phi_N, \Phi_N \rangle &= \int_0^T B_\gamma(u_N, \Phi_N) dt \\ &= \int_0^T B_\gamma(u_N - \Phi_N, \Phi_N) dt + \int_0^T B_\gamma(\Phi_N, \Phi_N) dt \end{aligned}$$

Next, note that Lemma 4.1 implies

$$\begin{aligned} \left| \int_0^T B_\gamma(u_N - \Phi_N, \Phi_N) dt \right| &\leq C \int_0^T \|(u_N - \Phi_N)(\cdot, t)\|_{H^s(\mathbb{R}^n)} \|\Phi_N(\cdot, t)\|_{H^s(\mathbb{R}^n)} dt \\ &\leq C \left( \int_0^T \|(u_N - \Phi_N)(\cdot, t)\|_{H^s(\mathbb{R}^n)}^2 dt \right)^{1/2} \\ &\leq C \|\Phi_N\|_{L^2(0, T; L^2(\mathbb{R}^n))} \\ &= C \|\eta\|_{L^2((0, T))} \|\phi_N\|_{L^2(W)}, \end{aligned}$$

and hence there holds

$$(4.3) \quad \lim_{N \rightarrow \infty} \int_0^T B_\gamma(u_N - \Phi_N, \Phi_N) dt = 0.$$

We obtain from (4.1), (4.2) and (4.3) that

$$(4.4) \quad \lim_{N \rightarrow \infty} \langle \Lambda_\gamma \Phi_N, \Phi_N \rangle = \int_0^T \eta^2(t) \gamma(x_0, t) dt.$$

Hence, applying the identity (4.4) to  $\gamma = \gamma_1$  and  $\gamma = \gamma_2$ , and subtracting them, with (1.7) at hand, we deduce

$$\int_0^T (\gamma_1(x_0, t) - \gamma_2(x_0, t)) \eta dt = 0$$

for all  $\eta \in C_c^\infty((0, T))$  with  $\eta \geq 0$ . This implies  $\gamma_1(x_0, t) \geq \gamma_2(x_0, t)$  a.e. Interchanging the role of  $\gamma_1$  and  $\gamma_2$ , we also obtain the reversed inequality and deduce by continuity that  $\gamma_1(x_0, t) = \gamma_2(x_0, t)$  for all  $t \in (0, T)$ . Since this construction can be done for any  $x_0 \in W$ , we have  $\gamma_1 = \gamma_2$  in  $W_T$ .  $\square$

**Remark 4.2.** *Note that we also obtain a Lipschitz stability estimate for the exterior determination problem with partial data as in the elliptic case [CRZ22]. Moreover, one can easily observe that in contrast to the DN map  $\Lambda_\gamma$  the new DN map  $\mathcal{N}_\gamma$ , which is defined in Section 6, satisfies  $\lim_{N \rightarrow \infty} \langle \mathcal{N}_\gamma \Phi_N, \Phi_N \rangle = 0$ .*

## 5. THE SPACETIME LIOUVILLE REDUCTION

In this section, we derive the spacetime Liouville reduction.

**Lemma 5.1** (Auxiliary Lemma). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ , and  $V \subset \Omega_e$  a nonempty open set. Assume that  $\gamma \in L^\infty(\mathbb{R}_T^n)$  with background deviation  $m_\gamma \in L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))$  satisfies  $\gamma \geq \gamma_0 > 0$  for some positive constant  $\gamma_0$ . Then the following assertions hold:*

(i) *For any  $\psi \in L^2(0, T; \tilde{H}^s(V))$ , we have  $\gamma^{1/2}\psi, \gamma^{-1/2}\psi \in L^2(0, T; \tilde{H}^s(V))$  and there holds*

$$\|\gamma^{1/2}\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))} \lesssim \left(1 + \|m_\gamma\|_{L^\infty(\mathbb{R}_T^n)} + \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))}\right) \cdot \|\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}$$

and

$$\|\gamma^{-1/2}\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))} \lesssim \left(1 + \|m_\gamma\|_{L^\infty(\mathbb{R}_T^n)} + \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))} + \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))}^{2s}\right) \|\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}.$$

(ii) *Let  $u, \varphi \in L^2(0, T; H^s(\mathbb{R}^n))$ . Then there holds*

$$\begin{aligned} \int_{t_1}^{t_2} \langle \Theta_\gamma \nabla^s u, \nabla^s \varphi \rangle_{L^2(\mathbb{R}^{2n})} dt &= \int_{t_1}^{t_2} \langle (-\Delta)^{s/2}(\gamma^{1/2}u), (-\Delta)^{s/2}(\gamma^{1/2}\varphi) \rangle_{L^2(\mathbb{R}^n)} dt \\ &\quad + \int_{t_1}^{t_2} \langle q_\gamma \gamma^{1/2}u, \gamma^{1/2}\varphi \rangle_{L^2(\mathbb{R}^n)} dt \end{aligned}$$

for all  $0 \leq t_1 < t_2 \leq T$ .

*Proof.* (i): First we show that  $\gamma^{1/2}\psi \in L^2(0, T; \tilde{H}^s(V))$  for any  $\psi \in L^2(0, T; \tilde{H}^s(V))$ . Decomposing  $\gamma^{1/2}\psi$  as  $m_\gamma\psi + \psi$ , we deduce from [RZ22b, Corollary A.7] that there holds

$$\begin{aligned} &\|\gamma^{1/2}\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \\ &\leq C \left( \|m_\gamma\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 + \|\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \right) \\ (5.1) \quad &\leq C \int_0^T \left( \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}^2 + \|m_\gamma\|_{H^{2s, n/2s}(\mathbb{R}^n)} \|m_{\gamma_i}\|_{L^\infty(\mathbb{R}^n)} \right) \|\psi\|_{H^s(\mathbb{R}^n)}^2 dt \\ &\quad + C \|\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2 \\ &\leq C \left( 1 + \|m_\gamma\|_{L^\infty(\mathbb{R}_T^n)}^2 + \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))} \right) \|\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2. \end{aligned}$$

Hence, we have  $\gamma^{1/2}\psi \in L^2(0, T; H^s(\mathbb{R}^n))$ .

Next, recall that if  $T > 0$  and  $X$  is a Banach space with dense subset  $X_0$ , then  $C_c^\infty((0, T)) \otimes X_0$  is dense in  $L^2(0, T; X)$ . Let  $(\rho_\epsilon)_{\epsilon>0} \subset C_c^\infty(\mathbb{R}^n)$  be a standard mollifier and choose a sequence  $(\psi_k)_{k \in \mathbb{N}} \subset C_c^\infty((0, T)) \otimes C_c^\infty(V)$  such that  $\psi_k \rightarrow \psi$  in  $L^2(0, T; \tilde{H}^s(V))$ . The sequence  $(\gamma^{1/2} * \rho_{\epsilon_k})\psi_k$ ,  $k \in \mathbb{N}$ , belongs to  $L^2(0, T; \tilde{H}^s(V))$ , where  $\epsilon_k \rightarrow 0$  as  $k \rightarrow \infty$ . Hence, if we can show that  $(\gamma_i^{1/2} * \rho_{\epsilon_k})\psi_k \rightarrow \gamma_i^{1/2}\psi$  in  $L^2(0, T; H^s(\mathbb{R}^n))$  as  $k \rightarrow \infty$ , then it follows that  $\gamma^{1/2}\psi \in L^2(0, T; \tilde{H}^s(V))$ . We can estimate

$$(5.2) \quad \begin{aligned} & \|\gamma^{1/2}\psi - (\gamma^{1/2} * \rho_{\epsilon_k})\psi_k\|_{L^2(0, T; H^s(\mathbb{R}^n))} \\ & \leq \|m_\gamma\psi - m_\gamma^k\psi_k\|_{L^2(0, T; H^s(\mathbb{R}^n))} + \|\psi - \psi_k\|_{L^2(0, T; H^s(\mathbb{R}^n))} \\ & \leq \|(m_\gamma - m_\gamma^k)\psi\|_{L^2(0, T; H^s(\mathbb{R}^n))} + \|m_\gamma^k(\psi - \psi_k)\|_{L^2(0, T; H^s(\mathbb{R}^n))} \\ & \quad + \|\psi - \psi_k\|_{L^2(0, T; H^s(\mathbb{R}^n))}, \end{aligned}$$

where we have set  $m_\gamma^k = m_{\gamma_i} * \rho_{\epsilon_k}$ .

Now, for the second term in the right hand side of (5.2), we can apply the estimate (5.1), but all the terms involving  $m_\gamma^k$  are uniformly bounded for  $k \in \mathbb{N}$  by using the Young's inequality and the fact that Bessel potentials commute with convolution. Hence, the second and third term go to zero as  $k \rightarrow \infty$ . For the first term in the right hand side of (5.2), we observe that by [RZ22b, Corollary A.7], there holds  $(m_\gamma - m_\gamma^k)\psi \rightarrow 0$  in  $H^s(\mathbb{R}^n)$  as  $k \rightarrow \infty$  for a.e.  $t \in (0, T)$  and

$$\begin{aligned} & \|(m_\gamma - m_\gamma^k)\psi\|_{H^s(\mathbb{R}^n)} \\ & \leq C \left( \|m_\gamma\|_{L^\infty(\mathbb{R}^n)} + \|m_\gamma\|_{H^{2s, n/2s}(\mathbb{R}^n)}^{1/2} \|m_\gamma\|_{L^\infty(\mathbb{R}^n)}^{1/2} \right) \|\psi\|_{H^s(\mathbb{R}^n)}, \end{aligned}$$

for a.e.  $t \in (0, T)$ .

With the above estimate at hand, let us use Young's inequality again and the Bessel potentials commute with convolution. Since,  $m_\gamma \in L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))$  the term in brackets is uniformly bounded in  $t$  and thus Lebesgue's dominated convergence theorem implies that  $(m_\gamma - m_\gamma^k)\psi \rightarrow 0$  in  $L^2(0, T; H^s(\mathbb{R}^n))$  as  $k \rightarrow \infty$ . Therefore, the assertion follows.

Similarly, one can prove  $\gamma^{-1/2}\psi \in L^2(0, T; \tilde{H}^s(V))$  for any  $\psi \in L^2(0, T; \tilde{H}^s(V))$ . Indeed, it essentially follows from the decomposition  $\gamma^{-1/2} = 1 - \frac{m_\gamma}{m_\gamma + 1}$  and the fact that the second term has exactly the same regularity properties as  $m_\gamma$ . More concretely, from [RZ22b, Proof of Theorem 8.6] and [AF92, p. 156] it follows that

$$\begin{aligned} & \left\| \frac{m_\gamma}{m_\gamma + 1} \right\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))} \\ & \leq C \left( \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))} + \|m_\gamma\|_{L^\infty(0, T; H^{2s, \frac{n}{2s}}(\mathbb{R}^n))}^{2s} \right), \end{aligned}$$

and hence we can repeat the above argument by using the smooth approximation the function  $\frac{m_\gamma^k}{m_\gamma^k + 1}$  this time. Thus, we conclude that  $\gamma^{-1/2}\psi \in L^2(0, T; \tilde{H}^s(V))$  for all  $\psi \in L^2(0, T; \tilde{H}^s(V))$ .

(ii): Note that due to our regularity assumptions we can apply [CRZ22, Lemma 4.1] or [RZ22b, Remark 8.8] in every time slice, to obtain

$$\begin{aligned} \langle \Theta_\gamma \nabla^s u, \nabla^s \varphi \rangle_{L^2(\mathbb{R}^{2n})} & = \langle (-\Delta)^{s/2}(\gamma^{1/2}u), (-\Delta)^{s/2}(\gamma^{1/2}\varphi) \rangle_{L^2(\mathbb{R}^n)} \\ & \quad - \langle (-\Delta)^{s/2}m_\gamma, (-\Delta)^{s/2}(\gamma^{1/2}u\varphi) \rangle_{L^2(\mathbb{R}^n)} \\ & = \langle (-\Delta)^{s/2}(\gamma^{1/2}u), (-\Delta)^{s/2}(\gamma^{1/2}\varphi) \rangle_{L^2(\mathbb{R}^n)} \\ & \quad + \langle q_\gamma \gamma^{1/2}u, \gamma^{1/2}\varphi \rangle_{L^2(\mathbb{R}^n)}, \end{aligned}$$

for a.e.  $t \in (0, T)$  and all  $u, \varphi \in L^2(0, T; H^s(\mathbb{R}^n))$ , where  $m_\gamma$  and  $q_\gamma$  are the functions defined by (3.2) and (5.4), respectively. Finally, note that by the properties of the fractional Laplacian, the fact that  $(u, v) \mapsto q_\gamma uv$  is bilinear and bounded as a map from  $L^2(0, T; H^s(\mathbb{R}^n)) \times L^2(0, T; H^s(\mathbb{R}^n))$  to  $L^1(0, T; L^1(\mathbb{R}^n))$  (cf. [RZ22b, Corollary A.11]) and the assertion (i) all terms appearing in the above identity are in  $L^1((0, T))$ .  $\square$

Now, we are ready to introduce the Liouville reduction.

**Theorem 5.2** (Fractional spacetime Liouville reduction). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ .*

(i) *If  $F \in L^2(\Omega_T)$ ,  $(f, u_0) \in X_s(\Omega_T)$  and  $u$  is the unique solution to (3.7), then  $v := \gamma^{1/2}u \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  solves*

$$(5.3) \quad \begin{cases} \partial_t (\gamma^{-1}v) + ((-\Delta)^s + Q_\gamma)v = G & \text{in } \Omega_T, \\ v = g & \text{in } (\Omega_e)_T, \\ v(0) = v_0 & \text{in } \Omega, \end{cases}$$

with  $G = \gamma^{-1/2}F \in L^2(\Omega_T)$ ,  $(g, v_0) = (\gamma^{1/2}f, \gamma^{1/2}u_0) \in X_s(\Omega_T)$  and

$$(5.4) \quad Q_\gamma = q_\gamma - \frac{\partial_t \gamma}{2\gamma^2} \quad \text{with} \quad q_\gamma = -\frac{(-\Delta)^s m_\gamma}{\gamma^{1/2}}.$$

(ii) *For all  $G \in L^2(\Omega_T)$ ,  $(g, v_0) \in X_s(\Omega_T)$ , there is a unique solution  $v \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  to (5.3). Moreover, it is given by  $v = \gamma^{-1/2}u$ , where  $u$  is the solution to (5.3) with  $F = \gamma^{1/2}G$ ,  $f = \gamma^{-1/2}g$  and  $u_0 = \gamma^{-1/2}v_0$ .*

*Proof.* (i): Note that  $G \in L^2(\Omega_T)$  and by Lemma 5.1, we have  $g \in L^2(0, T; H^s(\mathbb{R}^n))$ ,  $v_0 \in H^s(\mathbb{R}^n)$  and  $v - g \in L^2(0, T; \tilde{H}^s(\Omega))$ . By the assumptions on  $f, \gamma, u$ , we have  $\partial_t(\gamma^{1/2}f) \in L^2(\mathbb{R}_T^n)$  and  $\partial_t v \in L^2(\Omega_T)$ . This implies that

$$v_0 - g(0) = \gamma^{1/2}(0)(u_0 - f(0)) \in \tilde{H}^s(\Omega).$$

Therefore, we have shown that  $(g, v_0) \in X_s(\Omega_T)$ .

Arguing as above we have  $v$  has the same regularity properties as  $u$ . Thus, it remains to prove that  $v$  solves (5.3). By definition  $u$  satisfies

$$(5.5) \quad - \int_{\Omega_T} u \partial_t \varphi \, dx dt + \int_0^T B_\gamma(t; u, \varphi) \, dt = \int_{\Omega_T} F \varphi \, dx dt + \int_{\Omega} u_0(x) \varphi(x, 0) \, dx$$

for all  $\varphi \in C_c^\infty(\Omega \times [0, T])$ . Observe, as in the case  $s = 1$  (cf. [LSU88, Lemma 4.12]), the space  $C_c^\infty(\Omega \times [0, T])$  is dense in

$$W_s(\Omega_T) := \{ \varphi \in L^2(0, T; \tilde{H}^s(\Omega)); \partial_t \varphi \in L^2(\Omega_T) \text{ and } \varphi(T) = 0 \},$$

which is endowed with the natural norm

$$\|u\|_{W_s(\Omega_T)}^2 := \|\partial_t u\|_{L^2(\Omega_T)}^2 + \|u\|_{L^2(0, T; H^s(\mathbb{R}^n))}^2.$$

In fact, this can easily be seen by using a cutoff function in time as in [Bre11, Exercise 8.8], and using the density of  $C_c^\infty((0, T)) \otimes C_c^\infty(\Omega)$  in  $L^2(0, T; \tilde{H}^s(\Omega))$ .

Now as we proved above, the space  $W_s(\Omega_T)$  is invariant under multiplication with either  $\gamma^{1/2}$  or  $\gamma^{-1/2}$ . Moreover, we have

$$(5.6) \quad \begin{aligned} u \partial_t \varphi &= \frac{1}{\gamma^{1/2}} (\gamma^{1/2} u) \partial_t \frac{\gamma^{1/2} \varphi}{\gamma^{1/2}} \\ &= \frac{1}{\gamma} (\gamma^{1/2} u) \partial_t (\gamma^{1/2} \varphi) - \frac{1}{2\gamma^2} (\gamma^{1/2} u) (\gamma^{1/2} \varphi) \partial_t \gamma, \end{aligned}$$

for all  $\varphi \in W_s(\Omega_T)$ . Hence, using the (space) Liouville reduction (see [RZ22b, Remark 8.8]) in every time slice for all  $\varphi \in W_s(\Omega_T)$ , the identity (5.5) implies

$$\begin{aligned} & - \int_{\Omega_T} u \partial_t \varphi \, dx dt + \int_0^T \langle (-\Delta)^{s/2}(\gamma^{1/2}u), (-\Delta)^{s/2}(\gamma^{1/2}\varphi) \rangle dt \\ & + \int_{\Omega_T} q_\gamma(\gamma^{1/2}u)(\gamma^{1/2}\varphi) \, dx dt \\ & = \int_{\Omega_T} F \varphi \, dx dt + \int_{\Omega} \frac{\gamma^{1/2}(x,0)u_0(x)\gamma^{1/2}(x,0)\varphi(x,0)}{\gamma(x,0)} \, dx. \end{aligned}$$

Inserting (5.6), this shows

$$\begin{aligned} & - \int_{\Omega_T} \frac{(\gamma^{1/2}u)\partial_t(\gamma^{1/2}\varphi)}{\gamma} \, dx dt + \int_0^T \langle (-\Delta)^{s/2}(\gamma^{1/2}u), (-\Delta)^{s/2}(\gamma^{1/2}\varphi) \rangle dt \\ & + \int_{\Omega_T} Q_\gamma(\gamma^{1/2}u)(\gamma^{1/2}\varphi) \, dx dt \\ (5.7) \quad & = \int_{\Omega_T} (\gamma^{-1/2}F)(\gamma^{1/2}\varphi) \, dx dt \\ & + \int_{\Omega} \frac{\gamma^{1/2}(x,0)u_0(x)\gamma^{1/2}(x,0)\varphi(x,0)}{\gamma(x,0)} \, dx \end{aligned}$$

Hence, choosing  $\varphi = \gamma^{-1/2}\psi$  with  $\psi \in W_s(\Omega_T)$ , we see that  $v$  satisfies

$$\begin{aligned} & - \int_{\Omega_T} \gamma^{-1}v \partial_t \psi \, dx dt + \int_0^T \langle (-\Delta)^{s/2}v, (-\Delta)^{s/2}\psi \rangle dt + \int_{\Omega_T} Q_\gamma v \psi \, dx dt \\ (5.8) \quad & = \int_{\Omega_T} G \psi \, dx dt + \int_{\Omega} \frac{v_0(x)\psi(x,0)}{\gamma(x,0)} \, dx, \end{aligned}$$

for all  $\psi \in W_s(\Omega_T)$ . Therefore  $v$  is a solution of (5.3) as claimed.

(ii): Existence and uniqueness of solutions in  $H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  easily follows from (i) by choosing the data  $F, f, u_0$  appropriately and observing that  $\gamma^{-1/2}$  has precisely the same regularity properties as  $\gamma^{1/2}$ . In fact, assume that  $v \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  solves (5.3). Then as in (i), we deduce  $u := \gamma^{-1/2}v \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$ ,  $F := \gamma^{1/2}G \in L^2(\Omega)$  and  $(f, u_0) := (\gamma^{1/2}g, \gamma^{1/2}v_0) \in X_s(\Omega_T)$ .

By the definition,  $v$  solves (5.8) for any  $\psi \in W_s(\Omega_T)$ . Replacing  $\psi$  by  $\gamma^{1/2}\varphi$  and inserting these definitions of  $F, u_0$  and  $f$ , we get (5.7). Plugging the identity (5.6) and using the slicewise Liouville reduction, we see that  $u$  solves

$$(5.9) \quad \begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = F & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = u_0 & \text{in } \Omega. \end{cases}$$

Now, Theorem 3.6 gives the existence of such a solution  $u$  and by (i) the function  $v$  solves (5.3). On the other hand if  $v_1, v_2$  are two solutions of (5.3), then arguing as above we see that  $u_i := \gamma^{-1/2}v_i$  for  $i = 1, 2$  solve (5.9). Since solutions to the nonlocal diffusion equation (5.9) are unique, we deduce that  $u_1 = u_2$  and thus  $v_1 = v_2$ .  $\square$

Next we want to prove the well-posedness for the diffusion equation derived by the Liouville reduction and its adjoint equation under the milder assumption  $G \in L^2(0, T; H^{-s}(\Omega))$  but  $g \in C_c^\infty((\Omega_e)_T)$ . Moreover, we will see that  $u$  is the solution to (3.7) if and only if  $v$  is the unique solution to (5.3) of the form  $v = \gamma^{-1/2}u$ .

**Definition 5.3.** If  $u \in L^1_{loc}(V_T)$  for some open set  $V \subset \mathbb{R}^n$ , then we set

$$u^*(x, t) := u(x, T - t)$$

for all  $(x, t) \in V_T$ .

**Proposition 5.4** (Well-posedness). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}^n_T)$ . If  $g \in C_c^\infty((\Omega_e)_T)$  and  $G \in L^2(0, T; H^{-s}(\Omega))$ , then there exist unique solutions  $v, v^* \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t v$  and  $\partial_t v^* \in L^2(0, T; H^{-s}(\Omega))$  of*

$$(5.10) \quad \begin{cases} \partial_t(\gamma^{-1}v) + ((-\Delta)^s + Q_\gamma)v = G & \text{in } \Omega_T, \\ v = g & \text{in } (\Omega_e)_T, \\ v(0) = 0 & \text{in } \Omega, \end{cases}$$

and

$$(5.11) \quad \begin{cases} -\gamma^{-1}\partial_t v^* + ((-\Delta)^s + Q_\gamma)v^* = G & \text{in } \Omega_T, \\ v^* = g & \text{in } (\Omega_e)_T, \\ v^*(T) = 0 & \text{in } \Omega, \end{cases}$$

respectively. Here  $Q_\gamma$  is the function (5.4) given by the Liouville reduction.

*Proof.* Let us prove the uniqueness of solutions to (5.10). Suppose that  $v_1, v_2$  are solutions of (5.10), and consider  $\tilde{v} := v_1 - v_2$ , then  $\tilde{v}$  is the solution to

$$(5.12) \quad \begin{cases} \partial_t(\gamma^{-1}\tilde{v}) + ((-\Delta)^s + Q_\gamma)\tilde{v} = 0 & \text{in } \Omega_T, \\ \tilde{v} = 0 & \text{in } (\Omega_e)_T, \\ \tilde{v}(0) = 0 & \text{in } \Omega. \end{cases}$$

Multiplying (5.12) by  $\tilde{v}$ , then it is not hard to see

$$(5.13) \quad \int_{\Omega} \partial_t(\gamma^{-1}\tilde{v})\tilde{v} dx + \int_{\mathbb{R}^n} |(-\Delta)^{s/2}\tilde{v}|^2 dx + \int_{\Omega} Q_\gamma|\tilde{v}|^2 dx = 0,$$

where the first integral has to be understood as the duality pairing between  $\tilde{H}^s(\Omega)$  and  $H^{-s}(\Omega)$ . Meanwhile, notice that the first term of the above identity can be expressed as

$$(5.14) \quad \int_{\Omega} \partial_t(\gamma^{-1}\tilde{v})\tilde{v} dx = \frac{\partial_t}{2} \int_{\Omega} \gamma^{-1}|\tilde{v}|^2 dx + \int_{\Omega} |\tilde{v}|^2 \gamma^{-1/2} \partial_t(\gamma^{-1/2}) dx.$$

We next plug (5.14) into (5.13), which give rises to

$$\begin{aligned} & \frac{\partial_t}{2} \int_{\Omega} \gamma^{-1}|\tilde{v}|^2 dx + \int_{\mathbb{R}^n} |(-\Delta)^{s/2}\tilde{v}|^2 dx \\ &= - \int_{\Omega} Q_\gamma|\tilde{v}|^2 dx - \int_{\Omega} \gamma^{-1/2} \partial_t(\gamma^{-1/2})|\tilde{v}|^2 dx \\ &\leq C \int_{\Omega} \gamma^{-1}|\tilde{v}|^2 dx, \end{aligned}$$

for a constant  $C > 0$  independent of  $\tilde{v}$ , where we used that  $\gamma \in C_t^1 C_x(\mathbb{R}^n_T)$  is uniformly elliptic with  $\partial_t \gamma \in L^\infty(\mathbb{R}^n_T)$ .

Thus, we obtain

$$\begin{aligned} \partial_t \|\gamma^{-1/2}\tilde{v}\|_{L^2(\Omega)}^2 &\leq C \left( \partial_t \int_{\Omega} \gamma^{-1}|\tilde{v}|^2 dx + \int_{\mathbb{R}^n} |(-\Delta)^{s/2}\tilde{v}|^2 dx \right) \\ &\leq C \|\gamma^{-1/2}\tilde{v}\|_{L^2(\Omega)}^2, \end{aligned}$$

and the Gronwall's inequality implies that

$$\|\gamma^{-1/2}(\cdot, t)\tilde{v}(\cdot, t)\|_{L^2(\Omega)}^2 \leq e^{Ct} \|\gamma^{-1/2}(\cdot, 0)\tilde{v}(\cdot, 0)\|_{L^2(\Omega)}^2 = 0, \text{ for } t \in (0, T),$$

where we used the initial condition is 0. This shows  $\tilde{v} = 0$  in  $\Omega_T$  as desired.

When  $\gamma \in C_t^1 C_x(\mathbb{R}_T^n)$  is uniformly elliptic with  $\partial_t \gamma \in L^\infty(\mathbb{R}_T^n)$ , the proof of well-posedness of either (5.10) or (5.11) are similar. More precisely, one can use the relation

$$\partial_t(\gamma^{-1}v) = \gamma^{-1}\partial_t v + \partial_t(\gamma^{-1})v,$$

then we are able to rewrite the equation (5.10) as

$$(5.15) \quad \begin{cases} \gamma^{-1}\partial_t v + \left( (-\Delta)^s + \tilde{Q}_\gamma \right) v = G & \text{in } \Omega_T, \\ v = g & \text{in } (\Omega_e)_T, \\ v(0) = 0 & \text{in } \Omega, \end{cases}$$

where  $\tilde{Q}_\gamma := Q_\gamma + \partial_t(\gamma^{-1})$  in  $\Omega_T$ . Now, it is not hard to see the well-posedness of (5.15) and (5.11) are the same by reversing the time variable  $t \rightarrow T - t$  as in Definition 5.3.

Now, by slight modification of the proof of Theorem 5.2 one knows that  $v$  is the unique solution to (5.10) if and only if  $u$  is the solution to (3.7) with  $G = \gamma^{-1/2}F \in L^2(0, T; H^{-s}(\Omega))$  and  $g = \gamma^{1/2}f \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t g \in L^2(\mathbb{R}_T^n)$ . Hence, applying Theorem 3.6 for the solution  $u$  of (3.7), one has  $u \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t u \in L^2(0, T; H^{-s}(\Omega))$ , so does  $v$ . This proves the assertion.  $\square$

**Remark 5.5.** *Note that combining similar arguments as in the proofs of Proposition 5.4 and Theorem 3.6, one may derive the well-posedness of the initial-exterior value problem of*

$$\begin{cases} \partial_t(\gamma^{-1}v) + ((-\Delta)^s + Q)v = G & \text{in } \Omega_T, \\ v = g & \text{in } (\Omega_e)_T, \\ v(0) = 0 & \text{in } \Omega, \end{cases}$$

under suitable regularity assumptions of  $Q$ ,  $g$  and  $G$ . Here the potential  $Q$  may not be of the same form as the function  $Q_\gamma$  given by the spacetime Liouville reduction (5.4).

## 6. NONLOCAL NEUMANN DERIVATIVE AND NEW DN MAPS

Motivated by [DROV17, Lemma 3.3], we define for a given function  $u$  the analogous *nonlocal normal derivative* in the exterior domain by

$$\mathcal{N}_\gamma u(x, t) = C_{n,s} \int_\Omega \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \frac{u(x, t) - u(y, t)}{|x - y|^{n+2s}} dy, \quad (x, t) \in (\Omega_e)_T,$$

where  $C_{n,s}$  is the constant given by (1.5). We have included a further discussion of the nonlocal normal derivative and exterior DN map in Appendix A.

**6.1. Alternative definition of the DN map.** Let us make a new definition of the DN map:

**Definition 6.1** (New DN map for nonlocal diffusion equation). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . Then we define the exterior DN map  $\mathcal{N}_\gamma$  by*

$$\begin{aligned} \langle \mathcal{N}_\gamma f, g \rangle &= \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \\ &\quad \cdot \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} dx dy dt, \end{aligned}$$

for all  $f, g \in C_c^\infty((\Omega_e)_T)$ , where  $u_f$  is the unique solution (see Corollary 3.9 for the well-posedness) of

$$\begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = 0 & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = 0 & \text{in } \Omega. \end{cases}$$

**Proposition 6.2.** *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . Let  $f, g \in C_c^\infty((\Omega_e)_T)$ , denote by  $u_f \in L^2(0, T; H^s(\mathbb{R}^n))$  the unique solutions to*

$$\begin{cases} \partial_t u + \operatorname{div}_s(\Theta_\gamma \nabla^s u) = 0 & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = 0 & \text{in } \Omega. \end{cases}$$

Let  $v_g \in L^2(0, T; H^s(\mathbb{R}^n))$  be any function satisfying  $\partial_t v_g \in L^2(0, T; H^{-s}(\Omega))$ , and  $v_g - g \in L^2(0, T; \tilde{H}^s(\Omega))$ , then there holds

$$(6.1) \quad \begin{aligned} \langle \mathcal{N}_\gamma f, g \rangle &= \int_{\Omega_T} \partial_t u_f v_g \, dx dt + \int_0^T B_\gamma(u_f, v_g) \, dt \\ &\quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \\ &\quad \cdot \frac{(f(x, t) - f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy \, dt. \end{aligned}$$

*Proof.* By the definition (3.1) of the bilinear form  $B_\gamma$ , there holds

$$\begin{aligned} \langle \mathcal{N}_\gamma u, g \rangle &= \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \\ &\quad \cdot \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy \, dt \\ &= \int_0^T B_\gamma(u_f, g) \, dt \\ &\quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \\ &\quad \cdot \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy \, dt. \end{aligned}$$

First note that by writting  $u_f = (u_f - f) + f$  and using  $(u_f - f)(\cdot, t) \in \tilde{H}^s(\Omega)$  for a.e.  $t \in (0, T)$ , the last term is equal to

$$- \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \frac{(f(x, t) - f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy \, dt.$$

On the other hand by using cutoff functions  $\eta_m$  in time vanishing near  $t = 0$  and  $t = T$  but equal to one on the support of  $g$ , one obtains

$$\begin{aligned}
\int_0^T B_\gamma(u_f, g) dt &= \lim_{m \rightarrow \infty} \int_0^T B_\gamma(u_f, \eta_m g) dt \\
&= \lim_{m \rightarrow \infty} \left( - \int_0^T B_\gamma(u_f, \eta_m(v_g - g)) dt + \int_0^T B_\gamma(u_f, \eta_m v_g) dt \right) \\
&= - \lim_{m \rightarrow \infty} \int_{\Omega_T} u_f \partial_t(\eta_m(v_g - g)) dx dt + \int_0^T B_\gamma(u_f, v_g) dt \\
&= \lim_{m \rightarrow \infty} \int_{\Omega_T} \partial_t u_f \eta_m v_g dx dt + \int_0^T B_\gamma(u_f, v_g) dt \\
&= \int_{\Omega_T} \partial_t u_f v_g dx dt + \int_0^T B_\gamma(u_f, v_g) dt.
\end{aligned}$$

This concludes the proof.  $\square$

We next define the DN map for the spacetime Liouville reduction equation by the corresponding nonlocal Neumann derivative.

**Definition 6.3.** *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . Then we define the exterior DN map  $\mathcal{N}_{Q_\gamma}$  by*

(6.2)

$$\langle \mathcal{N}_{Q_\gamma} f, g \rangle = \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \frac{(v_f(x, t) - v_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} dx dy dt,$$

for all  $f, g \in C_c^\infty((\Omega_e)_T)$ , where  $v_f$  is the unique solution (see Theorem 5.2 and Proposition 5.4) of

$$\begin{cases} \partial_t(\gamma^{-1}v) + ((-\Delta)^s + Q_\gamma)v = 0 & \text{in } \Omega_T, \\ v = g & \text{in } (\Omega_e)_T, \\ v(0) = 0 & \text{in } \Omega, \end{cases}$$

and  $C_{n,s}$  is the constant given by (1.5).

To prove Theorem 1.1, let us derive a useful representation formula of (6.2).

**Proposition 6.4.** *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . Let  $f, g \in C_c^\infty((\Omega_e)_T)$ , denote by  $u_f$  the unique solutions to*

$$(6.3) \quad \begin{cases} \partial_t(\gamma^{-1}u) + ((-\Delta)^s + Q_\gamma)u = 0 & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(0) = 0 & \text{in } \Omega. \end{cases}$$

Let  $v_g \in L^2(0, T; H^s(\mathbb{R}^n))$  be any function satisfying  $\partial_t v_g \in L^2(0, T, H^{-s}(\Omega))$ , and  $v_g - g \in L^2(0, T; \tilde{H}^s(\Omega))$ , then there holds

$$\begin{aligned}
&\langle \mathcal{N}_{Q_\gamma} f, g \rangle \\
&= \int_{\Omega_T} \partial_t(\gamma^{-1}u_f)v_g dx dt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2}u_f(-\Delta)^{s/2}v_g dx dt + \int_{\Omega_T} Q_\gamma u_f v_g dx dt \\
&\quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x, t) - f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} dx dy dt.
\end{aligned}$$

**Remark 6.5.** Observe that the last term in (6.1) is independent of  $Q_\gamma$ . Therefore, in this case the corresponding DN map  $\Lambda_{Q_\gamma}$  can be defined by

$$\begin{aligned} & \langle \Lambda_{Q_\gamma} f, g \rangle \\ &= \int_{\Omega_T} \partial_t(\gamma^{-1} u_f) v_g \, dxdt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g \, dxdt + \int_{\Omega_T} Q_\gamma u_f v_g \, dxdt \end{aligned}$$

for  $f, g \in C_c^\infty((\Omega_e)_T)$  contains the same information as  $\mathcal{N}_{Q_\gamma}$ .

*Proof of Proposition 6.4.* As in the proof of Proposition 6.2 there holds

$$\begin{aligned} \langle \mathcal{N}_{Q_\gamma} f, g \rangle &= \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} g \, dxdt \\ &\quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x - y|^{n+2s}} \, dx dy dt. \end{aligned}$$

Next, as in the proof of Proposition 6.2, we use a sequence of cutoff functions  $(\eta_m)_{m \in \mathbb{N}} \subset C_c^\infty((0, T))$  to deduce the identity

$$\begin{aligned} & \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} g \, dxdt \\ &= \lim_{m \rightarrow \infty} - \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} (\eta_m (v_g - g)) \, dxdt \\ &\quad + \lim_{m \rightarrow \infty} \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} (\eta_m v_g) \, dxdt \\ &= \lim_{m \rightarrow \infty} \left( - \int_{\Omega_T} \gamma^{-1} u_f \partial_t (\eta_m (v_g - g)) \, dxdt + \int_{\Omega_T} Q_\gamma u_f (\eta_m (v_g - g)) \, dxdt \right) \\ &\quad + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g \, dxdt \\ &= \int_{\Omega_T} \partial_t(\gamma^{-1} u_f) v_g \, dxdt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g \, dxdt + \int_{\Omega_T} Q_\gamma u_f v_g \, dxdt. \end{aligned}$$

This completes the proof.  $\square$

**6.2. Relation between DN map and nonlocal Neumann derivative.** Let us consider two arbitrary nonempty open subsets  $W_1, W_2 \subset W$ , with  $W_1 \cap W_2 = \emptyset$ , where  $W \subset \Omega_e$  denotes the open set in the statements of either Theorem 1.1 or Theorem 1.2. Meanwhile, with the exterior determination result (Theorem 1.2) at hand, one already knows that  $\gamma_1 = \gamma_2$  in  $W_T$ , provided that  $\Lambda_{\gamma_1} f|_{W_T} = \Lambda_{\gamma_2} f|_{W_T}$  for any  $f \in C_c^\infty(W_T)$ . Adopting these notations, one immediately has  $\gamma_1 = \gamma_2$  in  $(W_1 \cup W_2)_T$ . Then we can derive the following relation.

**Lemma 6.6.** Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  for  $j = 1, 2$ . Assume that  $W_1, W_2 \subset \Omega_e$  are two nonempty open disjoint sets and  $\Gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  are such that  $\gamma_1(x, t) = \gamma_2(x, t) = \Gamma(x, t)$  for all  $(x, t) \in (W_1 \cup W_2)_T$ . Then we have

$$\Lambda_{\gamma_1} f|_{(W_2)_T} = \Lambda_{\gamma_2} f|_{(W_2)_T} \quad \text{for any } f \in C_c^\infty((W_1)_T)$$

if and only if there holds

$$\langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle \quad \text{for any } f \in C_c^\infty((W_1)_T) \text{ and } g \in C_c^\infty((W_2)_T).$$

*Proof.* We have for any  $f \in C_c^\infty((W_1)_T)$  and  $g \in C_c^\infty((W_2)_T)$

$$\langle \Lambda_\gamma f, g \rangle = \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n}} \gamma^{1/2}(x, t) \gamma^{1/2}(y, t) \frac{(u_f(x, t) - u_f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy dt$$

by using Definition 3.10. Thus, combining with Definition 6.1, one has that

$$\begin{aligned}
\langle \Lambda_{\gamma_1} f, g \rangle &= \langle \mathcal{N}_{\gamma_1} f, g \rangle + \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma_1^{1/2}(x,t) \gamma_1^{1/2}(y,t) \\
&\quad \cdot \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} dx dy dt \\
(6.4) \quad &= \langle \mathcal{N}_{\gamma_2} f, g \rangle + \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma_2^{1/2}(x,t) \gamma_2^{1/2}(y,t) \\
&\quad \cdot \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} dx dy dt \\
&= \langle \Lambda_{\gamma_2} f, g \rangle,
\end{aligned}$$

where we used that  $u_f = f$  in  $(\Omega_e)_T$ .

On the other hand, one can see that

$$\begin{aligned}
(6.5) \quad &\int_0^T \int_{\Omega_e \times \Omega_e} \gamma_1^{1/2}(x,t) \gamma_1^{1/2}(y,t) \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} dx dy dt \\
&= \int_0^T \int_{\Omega_e \times \Omega_e} \gamma_2^{1/2}(x,t) \gamma_2^{1/2}(y,t) \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} dx dy dt,
\end{aligned}$$

where we used that  $f \in C_c^\infty((W_1)_T)$ ,  $g \in C_c^\infty((W_2)_T)$  with  $\gamma_1 = \gamma_2$  in  $(W_1 \cup W_2)_T$  and  $W_1 \cap W_2 = \emptyset$ . Finally, insert (6.5) into (6.4), we can see the assertion is true. This completes the proof.  $\square$

**Theorem 6.7** (Relation of DN maps). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  for  $j = 1, 2$ . Assume that  $W_1, W_2 \subset \Omega_e$  are two nonempty open disjoint sets and  $\Gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  are such that  $\gamma_1(x, t) = \gamma_2(x, t) = \Gamma(x, t)$  for all  $(x, t) \in (W_1 \cup W_2)_T$  and  $\Gamma \in C^\infty((W_1 \cup W_2)_T)$ . Then for  $f \in C_c^\infty((W_1)_T)$ ,  $g \in C_c^\infty((W_2)_T)$ , we have*

$$\langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle$$

if and only if

$$\langle \mathcal{N}_{Q_{\gamma_1}}(\Gamma^{1/2} f), (\Gamma^{1/2} g) \rangle = \langle \mathcal{N}_{Q_{\gamma_2}}(\Gamma^{1/2} f), (\Gamma^{1/2} g) \rangle.$$

*Proof.* By the Liouville reduction (cf. Theorem 5.2) there holds

$$\begin{aligned}
&\int_{\Omega_T} \partial_t u_f v_g dx dt + \int_0^T B_\gamma(u_f, v_g) dt \\
&= \int_{\Omega_T} \partial_t (\gamma^{-1}(\gamma^{1/2} u_f)) (\gamma^{1/2} v_g) dx dt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} (\gamma^{1/2} u_f) (-\Delta)^{s/2} (\gamma^{1/2} v_g) dx dt \\
&\quad + \int_{\mathbb{R}_T^n} q_\gamma (\gamma^{1/2} u_f) (\gamma^{1/2} v_g) dx dt - \int_{\Omega_T} \frac{1}{2\gamma^2} (\gamma^{1/2} u_f) (\gamma^{1/2} v_g) dx dt \\
&= \int_{\Omega_T} \partial_t (\gamma^{-1}(\gamma^{1/2} u_f)) (\gamma^{1/2} v_g) dx dt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} (\gamma^{1/2} u_f) (-\Delta)^{s/2} (\gamma^{1/2} v_g) dx dt \\
&\quad + \int_{\Omega_T} Q_\gamma (\gamma^{1/2} u_f) (\gamma^{1/2} v_g) dx dt + \int_{(\Omega_e)_T} q_\gamma (\gamma^{1/2} u_f) (\gamma^{1/2} v_g) dx dt \\
&= \langle \mathcal{N}_{Q_\gamma}(\Gamma^{1/2} f), (\Gamma^{1/2} g) \rangle + \int_{(\Omega_e)_T} q_\gamma (\gamma^{1/2} f) (\gamma^{1/2} g) dx dt \\
&\quad + \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} dx dy dt,
\end{aligned}$$

since  $w_f := \gamma^{1/2}u_f$  solves (6.3) with exterior condition  $\Gamma^{1/2}f$  and  $v_{\Gamma^{1/2}g} := \gamma^{1/2}v_g$  is an extension of  $\gamma^{1/2}g$  with the same regularity properties as  $v_g$ . Therefore, there holds

$$\begin{aligned} & \langle \mathcal{N}_\gamma f, g \rangle - \langle \mathcal{N}_{Q_\gamma}(\Gamma^{1/2}f), (\Gamma^{1/2}g) \rangle \\ &= \int_{(\Omega_e)_T} q_\gamma(\gamma^{1/2}f)(\gamma^{1/2}g) \, dxdt \\ &+ \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} \, dx dy dt \\ &+ \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x,t)\gamma^{1/2}(y,t) \\ &\quad \cdot \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} \, dx dy dt. \end{aligned}$$

Since  $W_1 \cap W_2 = \emptyset$ , it follows that

$$\begin{aligned} & \langle \mathcal{N}_\gamma f, g \rangle - \langle \mathcal{N}_{Q_\gamma}(\Gamma^{1/2}f), (\Gamma^{1/2}g) \rangle \\ &= -\frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \left(1 + \Gamma^{1/2}(x,t)\Gamma^{1/2}(y,t)\right) \\ &\quad \cdot \frac{(f(x,t)g(y,t) - f(y,t)g(x,t))}{|x-y|^{n+2s}} \, dx dy dt. \end{aligned}$$

Now this expression on the right hand side does not depend on the conductivities and so we see that there holds

$$\langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle \text{ if and only if } \langle \mathcal{N}_{Q_{\gamma_1}} f, g \rangle = \langle \mathcal{N}_{Q_{\gamma_2}} f, g \rangle,$$

for any  $f \in C_c^\infty((W_1)_T)$  and  $g \in C_c^\infty((W_2)_T)$ . This proves the assertion.  $\square$

**6.3. Adjoint DN map.** Let us introduce the adjoint DN map which then will be used to prove a suitable integral identity.

**Definition 6.8** (Adjoint DN map). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . Then we define the adjoint exterior DN map  $\mathcal{N}_{Q_\gamma}^*$  by*

$$\langle \mathcal{N}_{Q_\gamma}^* f, g \rangle = \frac{C_{n,s}}{2} \int_0^T \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \frac{(u_f(x,t) - u_f(y,t))(g(x,t) - g(y,t))}{|x-y|^{n+2s}} \, dx dy dt$$

for all  $f, g \in C_c^\infty((\Omega_e)_T)$ , where  $u_f$  is the unique solution to

$$\begin{cases} -\gamma^{-1}\partial_t v + ((-\Delta)^s + Q_\gamma)v = 0 & \text{in } \Omega_T, \\ v = f & \text{in } (\Omega_e)_T, \\ v(T) = 0 & \text{in } \Omega, \end{cases}$$

and  $C_{n,s}$  is the constant given by (1.5).

We make the following simple observations:

**Lemma 6.9** (Properties adjoint DN map). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$ . If  $f, g \in C_c^\infty((\Omega_e)_T)$  and  $u_f$  is the unique solution to*

$$\begin{cases} -\gamma^{-1}\partial_t u + ((-\Delta)^s + Q_\gamma)u = 0 & \text{in } \Omega_T, \\ u = f & \text{in } (\Omega_e)_T, \\ u(T) = 0 & \text{in } \Omega \end{cases}$$

then

(i) for any any extension  $v_g$  of  $g$  with  $v_g \in L^2(0, T; H^s(\mathbb{R}^n))$  and  $\partial_t v_g \in L^2(0, T, H^{-s}(\Omega))$  there holds

$$\begin{aligned} & \langle \mathcal{N}_{Q_\gamma}^* f, g \rangle \\ &= - \int_{\Omega_T} \gamma^{-1} \partial_t u_f v_g \, dxdt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g \, dxdt + \int_{\Omega_T} Q_\gamma u_f v_g \, dxdt \\ & \quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x, t) - f(y, t))(g(x, t) - g(y, t))}{|x - y|^{n+2s}} \, dx dy dt, \end{aligned}$$

(ii)

$$\langle \mathcal{N}_{Q_\gamma}^* f, g \rangle = \langle \mathcal{N}_{Q_\gamma} g, f \rangle.$$

*Proof.* (i): This follows from a similar calculation as in Proposition 6.4.

(ii): Let  $u_g$  be the solution to (6.3) as the exterior data  $f$  is replaced by  $g$ . Since  $u_f(T) = 0$ ,  $u_g(0) = 0$  there holds

$$\int_{\Omega_T} (\partial_t(\gamma^{-1} u_g) u_f + u_g \gamma^{-1} \partial_t u_f) \, dxdt = 0$$

This immediately shows the claim.  $\square$

## 7. THE GLOBAL UNIQUENESS

We split this final section into several parts. We first establish the integral identity and the Runge approximations in Sections 7.1 and 7.2, respectively. Combined with these two statements, one can prove the interior uniqueness in Section 7.3. Finally, we show the UCP of exterior DN maps, which together with the work of Section 4 imply the global uniqueness result of Theorem 1.1.

**7.1. Integral identity.** One of the key material to prove the interior uniqueness is to derive a suitable integral identity.

**Proposition 7.1** (Integral identity). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  for  $j = 1, 2$ . Assume that  $W_1, W_2 \subset \Omega_e$  are two nonempty open sets and  $\Gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  are such that  $\gamma_1(x, t) = \gamma_2(x, t) = \Gamma(x, t)$  for all  $(x, t) \in (W_1 \cup W_2)_T$  and  $\Gamma \in C^\infty((W_1 \cap W_2)_T)$ . Then for  $f \in C_c^\infty((W_1)_T)$ ,  $g \in C_c^\infty((W_2)_T)$  we have*

$$\langle (\mathcal{N}_{Q_{\gamma_1}} - \mathcal{N}_{Q_{\gamma_2}}) f, g \rangle = \int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1}) v_f \partial_t v_g \, dxdt + \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2}) v_g v_f \, dxdt,$$

where  $v_f$  is the unique solution to (6.3) with  $\gamma = \gamma_1$  and  $v_g$  is the unique solution to the adjoint equation

$$\begin{cases} -\gamma_2^{-1} \partial_t w + ((-\Delta)^s + Q_{\gamma_2}) w = 0 & \text{in } \Omega_T, \\ w = g & \text{in } (\Omega_e)_T, \\ w(T) = 0 & \text{in } \Omega. \end{cases}$$

*Proof.* By Lemma 6.9, Proposition 6.4 we have

$$\begin{aligned}
& \langle (\mathcal{N}_{Q_{\gamma_1}} - \mathcal{N}_{Q_{\gamma_2}})f, g \rangle \\
&= \langle \mathcal{N}_{Q_{\gamma_1}}f, g \rangle - \langle \mathcal{N}_{Q_{\gamma_2}}f, g \rangle \\
&= \langle \mathcal{N}_{Q_{\gamma_1}}f, g \rangle - \langle \mathcal{N}_{Q_{\gamma_2}}^*g, f \rangle \\
&= \int_{\Omega_T} \partial_t(\gamma_1^{-1}v_f)v_g \, dxdt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2}v_f(-\Delta)^{s/2}v_g \, dxdt + \int_{\Omega_T} Q_{\gamma_1}v_fv_g \, dxdt \\
&\quad - \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x - y|^{n+2s}} \, dx dy \, dt \\
&\quad + \int_{\Omega_T} \gamma_2^{-1}\partial_tv_gv_f \, dxdt - \int_{\mathbb{R}_T^n} (-\Delta)^{s/2}v_g(-\Delta)^{s/2}v_f \, dxdt - \int_{\Omega_T} Q_{\gamma_2}v_gv_f \, dxdt \\
&\quad + \frac{C_{n,s}}{2} \int_0^T \int_{\Omega_e \times \Omega_e} \frac{(f(x,t) - f(y,t))(g(x,t) - g(y,t))}{|x - y|^{n+2s}} \, dx dy \, dt \\
&= \int_{\Omega_T} \partial_t(\gamma_1^{-1}v_f)v_g \, dxdt + \int_{\Omega_T} \gamma_2^{-1}v_f\partial_tv_g \, dxdt + \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2})v_gv_f \, dxdt \\
&= \int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1})v_f\partial_tv_g \, dxdt + \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2})v_gv_f \, dxdt
\end{aligned}$$

where we used for the integration by parts that  $v_f(0) = 0$  and  $v_g(T) = 0$ .  $\square$

**7.2. Approximation property.** To prove the interior uniqueness result of  $\gamma$ , we derive an approximation property of solutions to the Schrödinger type equations. First we introduce the source to solution map, which is usually called Poisson operator. Assume that  $\Omega \subset \mathbb{R}^n$  is an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  and  $W \subset \Omega_e$  is a nonempty open set. With the well-posedness of (5.3), we can define the Poisson operator  $P_\gamma$  such that

$$(7.1) \quad P_\gamma : C_c^\infty(W_T) \rightarrow H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n)), \quad f \mapsto v_f,$$

where  $v_f \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  is the unique solution of

$$(7.2) \quad \begin{cases} \partial_t(\gamma^{-1}v) + ((-\Delta)^s + Q_\gamma)v = 0 & \text{in } \Omega_T, \\ v = f & \text{in } (\Omega_e)_T, \\ v(0) = 0 & \text{in } \Omega, \end{cases}$$

with  $v_f - f \in H^1(0, T; L^2(\Omega)) \cap L^2(0, T; \tilde{H}^s(\Omega))$ .

Next before studying the Runge approximation for the equation (7.2), let us recall the UCP for the fractional Laplacian (see e.g. [GSU20, Theorem 1.2] for functions in  $H^r$  or [KRZ22, Theorem 2.2] in  $H^{r,p}$ ).

**Proposition 7.2** (Unique continuation for the fractional Laplacian). *For  $n \in \mathbb{N}$  and  $s \in (0, 1)$ , let  $w \in H^{-r}(\mathbb{R}^n)$  for some  $r \in \mathbb{R}$ . Given a nonempty open subset  $W \subset \mathbb{R}^n$ , then  $w = (-\Delta)^s w = 0$  in  $W$  implies that  $w \equiv 0$  in  $\mathbb{R}^n$ .*

**Proposition 7.3** (Runge approximation). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  and  $W \subset \Omega_e$  be a nonempty open set. Let  $P_\gamma$  be the Poisson operator given by (7.1), and*

$$\mathcal{R} := \{v_f - f; f \in C_c^\infty(W_T)\}.$$

*Then the set  $\mathcal{R}$  is dense in  $L^2(0, T; \tilde{H}^s(\Omega))$ .*

*Proof.* By using Theorem 5.2, one has  $\mathcal{R} \subset L^2(0, T; \tilde{H}^s(\Omega))$ . In order to show the density, by the Hahn-Banach theorem, we only need to show that for any  $F \in (L^2(0, T; \tilde{H}^s(\Omega)))^* = L^2(0, T; H^{-s}(\Omega))$ , such that  $\langle F, w \rangle = 0^2$ , for any  $w \in \mathcal{R}$ , then  $F$  must be zero. Via  $\langle F, w \rangle = 0$  for any  $w \in \mathcal{R}$ , we have

$$\langle F, P_\gamma f - f \rangle = 0, \text{ for any } f \in C_c^\infty(W_T).$$

We next claim that

$$(7.3) \quad \langle F, P_\gamma f - f \rangle = - \int_{\mathbb{R}^n} (-\Delta)^{s/2} f (-\Delta)^{s/2} \varphi \, dx dt, \text{ for any } f \in C_c^\infty(W_T),$$

where  $\varphi \in L^2(0, T; H^s(\mathbb{R}^n))$  with  $\partial_t \varphi \in L^2(0, T; H^{-s}(\Omega))$  (see Proposition 5.4) is the unique solution of the adjoint equation

$$\begin{cases} -\gamma^{-1} \partial_t \varphi + ((-\Delta)^s + Q_\gamma) \varphi = F & \text{in } \Omega_T, \\ \varphi = 0 & \text{in } (\Omega_e)_T, \\ \varphi(T) = 0 & \text{in } \Omega. \end{cases}$$

In fact, by direct computations, one has that  $v_f = P_\gamma f$  and

$$\begin{aligned} \langle F, P_\gamma f - f \rangle &= \int_{\Omega_T} (-\gamma^{-1} \partial_t \varphi + Q_\gamma) (v_f - f) \, dx dt \\ &\quad + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} \varphi (-\Delta)^{s/2} (v_f - f) \, dx dt \\ &= \int_{\Omega_T} (-\gamma^{-1} \partial_t \varphi + Q_\gamma \varphi) v_f \, dx dt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} \varphi (-\Delta)^{s/2} v_f \, dx dt \\ &\quad - \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} \varphi (-\Delta)^{s/2} f \, dx dt \\ &= \underbrace{\int_{\Omega_T} (\partial_t(\gamma^{-1} v_f) + Q_\gamma v_f) \varphi \, dx dt + \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} v_f (-\Delta)^{s/2} \varphi \, dx dt}_{=0, \text{ since } v_f = P_\gamma f} \\ &\quad - \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} \varphi (-\Delta)^{s/2} f \, dx dt \\ &= - \int_{\mathbb{R}_T^n} (-\Delta)^{s/2} \varphi (-\Delta)^{s/2} f \, dx dt, \end{aligned}$$

where we used that  $v_f(0) = \varphi(T) = 0$  for the integration by parts in the third equality sign and the integral involving the time derivative has to be understood in a weak sense. This shows the identity (7.3). Finally, the identity (7.3) is equivalent to

$$(-\Delta)^s \varphi = 0 \quad \text{in } W_T.$$

Thus, the function  $\varphi$  satisfies  $\varphi = (-\Delta)^s \varphi = 0$  in  $W_T$ , by Proposition 7.2, then we have  $\varphi \equiv 0$  in  $\mathbb{R}_T^n$ , so that  $F \equiv 0$  in  $\mathbb{R}_T^n$ . In summary, we showed that the set  $\mathcal{R}$  is dense in  $L^2(0, T; \tilde{H}^s(\Omega))$ . This proves the assertion.  $\square$

**Remark 7.4.** *Note that*

- (i) *By Proposition 7.3, we know that given any  $\phi \in L^2(0, T; \tilde{H}^s(\Omega))$ , there exists a sequence of solutions  $\{v_{f_k}\}_{k \in \mathbb{N}} \in L^2(0, T; H^s(\mathbb{R}^n))$  to (7.2) with  $f = f_k$ , such that*

$$v_{f_k} - f_k \rightarrow \phi \text{ in } L^2(0, T; \tilde{H}^s(\Omega)) \text{ as } k \rightarrow \infty.$$

<sup>2</sup>Here  $\langle F, w \rangle = \int_{\Omega_T} F w \, dx dt$  denotes the duality pairing, for  $F \in L^2(0, T; H^{-s}(\Omega))$  and  $w \in L^2(0, T; \tilde{H}^s(\Omega))$ .

Since  $v_{f_k}$  is a solution, by applying Proposition 5.4, we can see that  $\partial_t v_{f_k} \in L^2(0, T; H^{-s}(\Omega))$ . Now assume that the time derivative of  $\phi$  belongs to  $L^2(0, T; H^{-s}(\Omega))$ , then we have

$$\begin{aligned} \lim_{k \rightarrow \infty} \int_{\Omega_T} \partial_t (v_{f_k} - f_k) \phi \, dx dt &= - \lim_{k \rightarrow \infty} \int_{\Omega_T} (v_{f_k} - f_k) \partial_t \phi \, dx dt \\ &= - \int_{\Omega_T} \phi \partial_t \phi \, dx dt \\ &= \int_{\Omega_T} (\partial_t \phi) \phi \, dx dt, \end{aligned}$$

for any  $\phi \in L^2(0, T; \tilde{H}^s(\Omega))$  with  $\partial_t \phi \in L^2(0, T; H^{-s}(\Omega))$  and  $\phi(T) = 0$ .

(ii) By using similar arguments as in the proof of Proposition 7.3, one can show that the Runge approximation holds for the adjoint diffusion equation

$$\begin{cases} -\gamma^{-1} \partial_t v^* + ((-\Delta)^s + Q_\gamma) v^* = 0 & \text{in } \Omega_T, \\ v^* = g & \text{in } (\Omega_e)_T, \\ v^*(T) = 0 & \text{in } \Omega, \end{cases}$$

In other words, given a nonempty open set  $W \subset \Omega_e$ , the set

$$\mathcal{R}^* := \{v_g^* - g; g \in C_c^\infty(W_T)\}$$

is dense in  $L^2(0, T; \tilde{H}^s(\Omega))$ .

**7.3. Interior determination and proof of Theorem 1.1.** Let us state the interior uniqueness result.

**Theorem 7.5** (Interior uniqueness). *Let  $\Omega \subset \mathbb{R}^n$  be an open set bounded in one direction,  $0 < T < \infty$ ,  $0 < s < \min(1, n/2)$ ,  $\gamma_0 > 0$  and  $\gamma_j \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  for  $j = 1, 2$ . Assume that  $W_1, W_2 \subset \Omega_e$  are two disjoint nonempty open sets and  $\Gamma \in \Gamma_{s, \gamma_0}(\mathbb{R}_T^n)$  are such that  $\gamma_1(x, t) = \gamma_2(x, t) = \Gamma(x, t)$  for all  $(x, t) \in (W_1 \cup W_2)_T$  and  $\Gamma \in C_c^\infty((W_1 \cup W_2)_T)$ . Then*

$$(7.4) \quad \langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle$$

if and only if

$$\gamma_1 = \gamma_2 \text{ and } Q_{\gamma_1} = Q_{\gamma_2} \text{ in } \Omega_T.$$

*Proof.* Via Theorem 6.7, let  $g \in C_c^\infty((W_2)_T)$ , then one has

$$\langle \mathcal{N}_{\gamma_1} f, g \rangle = \langle \mathcal{N}_{\gamma_2} f, g \rangle$$

if and only if

$$(7.5) \quad \langle \mathcal{N}_{Q_{\gamma_1}}(\Gamma^{1/2} f), (\Gamma^{1/2} g) \rangle = \langle \mathcal{N}_{Q_{\gamma_2}}(\Gamma^{1/2} f), (\Gamma^{1/2} g) \rangle.$$

Since  $\Gamma$  is uniformly elliptic and smooth on  $(W_1 \cup W_2)_T$ , the condition (7.5) implies

$$\langle \mathcal{N}_{Q_{\gamma_1}} f, g \rangle = \langle \mathcal{N}_{Q_{\gamma_2}} f, g \rangle$$

for all  $f \in C_c^\infty((W_1)_T)$  and  $g \in C_c^\infty((W_2)_T)$ . Moreover, by Proposition 7.1, one has

$$(7.6) \quad \int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1}) v_f \partial_t v_g^* \, dx dt + \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2}) v_g^* v_f \, dx dt = 0,$$

where  $v_f \in \mathcal{H} := H^1(0, T; L^2(\Omega)) \cap L^2(0, T; H^s(\mathbb{R}^n))$  and  $v_g^* \in \mathcal{H}$  are the solutions to

$$(7.7) \quad \begin{cases} \partial_t (\gamma_1^{-1} v_f) + ((-\Delta)^s + Q_{\gamma_1}) v_f = 0 & \text{in } \Omega_T, \\ v_f = f & \text{in } (\Omega_e)_T, \\ v_f(0) = 0 & \text{in } \Omega, \end{cases}$$

and

$$(7.8) \quad \begin{cases} -\gamma_2^{-1} \partial_t v_g^* + ((-\Delta)^s + Q_{\gamma_2}) v_g^* = 0 & \text{in } \Omega_T, \\ v_g^* = g & \text{in } (\Omega_e)_T, \\ v_g^*(T) = 0 & \text{in } \Omega, \end{cases}$$

respectively.

*Step 1.*  $Q_{\gamma_1} = Q_{\gamma_2}$  in  $\Omega_T$ .

Take  $\Omega' \Subset \Omega$  and  $\psi \in C_c^\infty(\Omega)$  with  $\psi|_{\overline{\Omega'}} = 1$ . By extending  $\psi$  for all times trivially, we have  $\psi \in \mathcal{H}$ . Then take  $\phi \in C_c^\infty(\Omega'_T)$  and apply the Runge approximation (Proposition 7.3 and Remark 7.4) to find sequences  $\{f_\ell\}_{\ell=1}^\infty \subset C_c^\infty((W_1)_T)$  and  $\{g_k\}_{k=1}^\infty \subset C_c^\infty((W_2)_T)$  such that  $v_{f_\ell} - f_\ell \rightarrow \phi$  and  $v_{g_k}^* - g_k \rightarrow \psi$  as  $\ell, k \rightarrow \infty$ . Here  $v_{f_\ell} \in \mathcal{H}$  and  $v_{g_k}^* \in \mathcal{H}$  are the solutions to (7.7) and (7.8) with  $f = f_\ell$  and  $g = g_k$ , respectively. Hence,

$$\lim_{\ell, k \rightarrow \infty} \int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1}) v_{f_\ell} \partial_t v_{g_k}^* dxdt = 0$$

and

$$\lim_{\ell, k \rightarrow \infty} \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2}) v_{g_k}^* v_{f_\ell} dxdt = \int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2}) \psi \phi dxdt.$$

Using that  $\phi \psi = \phi$ , we deduce

$$\int_{\Omega_T} (Q_{\gamma_1} - Q_{\gamma_2}) \phi dxdt = 0,$$

for any possible  $\phi \in C_c^\infty(\Omega_T)$ . Thus, one can conclude that  $Q_{\gamma_1} = Q_{\gamma_2}$  in  $\Omega_T$ .

*Step 2.*  $\gamma_1 = \gamma_2$  in  $\Omega_T$ .

Plugging  $Q_{\gamma_1} = Q_{\gamma_2}$  in  $\Omega_T$  into (7.6), we have

$$\int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1}) v_f \partial_t v_g^* dxdt = 0,$$

for any  $f \in C_c^\infty((W_1)_T)$  and  $g \in C_c^\infty((W_2)_T)$ . Take  $\Omega' \Subset \Omega$ ,  $\eta \in C_c^\infty(\Omega)$  with  $\eta|_{\overline{\Omega'}} = 1$  and set  $\psi(\cdot, t) = t\eta$ . By repeating the arguments as in *Step 1*, with the Runge approximation at hand, then one can also conclude that

$$\int_{\Omega_T} (\gamma_2^{-1} - \gamma_1^{-1}) \phi dxdt = 0,$$

for any possible  $\phi \in C_c^\infty(\Omega_T)$ . This ensures  $\gamma_1 = \gamma_2$  in  $\Omega_T$ .  $\square$

*Proof of Theorem 1.1.* First we apply Theorem 1.2 to deduce that  $\gamma_1 = \gamma_2$  in  $W_T$ . Then we choose two nonempty disjoint open sets  $W_1, W_2 \subset W$ . By Lemma 6.6 the condition (1.7) implies that the identity (7.4) holds for  $W_1, W_2$  as chosen initially. Now by using Theorem 7.5 we can conclude that  $\gamma_1 = \gamma_2$ ,  $Q_{\gamma_1} = Q_{\gamma_2}$  in  $\Omega_T$ . This in turn implies

$$0 = Q_{\gamma_1} - Q_{\gamma_2} = (-\Delta)^s (m_{\gamma_2} - m_{\gamma_1}) \text{ in } \Omega,$$

for a.e.  $t \in (0, T)$ . Hence, by the UCP (see [KRZ22, Theorem 2.2]) it follows that  $\gamma_1 = \gamma_2$  in  $\mathbb{R}_T^n$ .  $\square$

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## APPENDIX A. DISCUSSION OF NONLOCAL NORMAL DERIVATIVES AND DN MAPS

In this section, we motivate the definition of the nonlocal Neumann derivatives  $\mathcal{N}_\gamma$  and  $\mathcal{N}_{Q_\gamma}$ , which underly the Definition 6.1 and 6.3. We restrict here our attention to time independent functions for simplicity. First recall that from [DROV17, Lemma 3.3], one has the nonlocal integration by parts formula

$$\begin{aligned} & \int_{\Omega_e} (\mathcal{N}_s u) v \, dx + \int_{\Omega} ((-\Delta)^s u) v \, dx \\ &= \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \frac{(u(x) - u(y))(v(x) - v(y))}{|x - y|^{n+2s}} \, dx dy \end{aligned}$$

for all  $u, v \in C^2(\mathbb{R}^n)$ , where

$$(A.1) \quad \mathcal{N}_s u(x) := C_{n,s} \int_{\Omega} \frac{u(x) - u(y)}{|x - y|^{n+2s}} \, dy$$

denotes the nonlocal normal derivative for  $x \in \Omega_e$  and sufficiently regular functions  $u: \mathbb{R}^n \rightarrow \mathbb{R}$ . Here  $C_{n,s}$  is the same constant given by (1.5).

Next, we want to show that a similar formula holds for the fractional conductivity operator studied in this work. For simplicity assume  $u, \phi \in C_c^\infty(\mathbb{R}^n)$  and denote the duality pairing between  $H^s(\mathbb{R}^n)$  and  $H^{-s}(\mathbb{R}^n)$  by  $\langle \cdot, \cdot \rangle$ . Then we have

$$\begin{aligned} \langle \operatorname{div}_s(\Theta_\gamma \nabla^s u), \phi \rangle &= \frac{C_{n,s}}{2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \frac{\gamma^{1/2}(x) \gamma^{1/2}(y)}{|x - y|^{n+2s}} (u(x) - u(y)) (\phi(x) - \phi(y)) \, dx dy \\ &= \frac{C_{n,s}}{2} \int_{\mathbb{R}^n} \left( \int_{\mathbb{R}^n} \frac{\gamma^{1/2}(y)}{|x - y|^{n+2s}} (u(x) - u(y)) \, dy \right) \gamma^{1/2}(x) \phi(x) \, dx \\ &\quad - \frac{C_{n,s}}{2} \int_{\mathbb{R}^n} \left( \int_{\mathbb{R}^n} \frac{\gamma^{1/2}(x)}{|x - y|^{n+2s}} (u(x) - u(y)) \, dx \right) \gamma^{1/2}(y) \phi(y) \, dy \\ &= C_{n,s} \int_{\mathbb{R}^n} \left( \int_{\mathbb{R}^n} \frac{\gamma^{1/2}(y)}{|x - y|^{n+2s}} (u(x) - u(y)) \, dy \right) \gamma^{1/2}(x) \phi(x) \, dx \\ &= \int_{\mathbb{R}^n} L_\gamma u(x) \phi(x) \, dx, \end{aligned}$$

where we set

$$(A.2) \quad L_\gamma^s u(x) := C_{n,s} \gamma^{1/2}(x) \int_{\mathbb{R}^n} \frac{\gamma^{1/2}(y)}{|x - y|^{n+2s}} (u(x) - u(y)) \, dy.$$

Now, let us define the (general) nonlocal Neumann derivative by

$$(A.3) \quad \mathcal{N}_s^\gamma u(x) := C_{n,s} \gamma^{1/2}(x) \int_{\Omega} \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} \, dy$$

for  $x \in \Omega_e$  and sufficiently regular functions  $u: \mathbb{R}^n \rightarrow \mathbb{R}$  (in this section we write the superscript  $\gamma$  to distinguish it from the normal derivative in (A.1)). With this definition we have

$$(A.4) \quad \begin{aligned} & \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u(x) - u(y))(v(x) - v(y))}{|x - y|^{n+2s}} \, dx dy \\ &= \int_{\Omega} L_\gamma^s u(x) v(x) \, dx + \int_{\Omega_e} v(x) \mathcal{N}_s^\gamma u(x) \, dx. \end{aligned}$$

To see this, observe that  $\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e) = (\Omega \times \mathbb{R}^n) \cup (\Omega_e \times \Omega)$  and hence

$$\begin{aligned}
& \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u(x) - u(y))(v(x) - v(y))}{|x - y|^{n+2s}} dx dy \\
&= \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} v(x) dx dy \\
&\quad - \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} v(y) dx dy \\
&= 2 \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} v(x) dx dy \\
&= 2 \int_{\Omega} v(x) \left( \int_{\mathbb{R}^n} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} dy \right) dx \\
&\quad + 2 \int_{\Omega_e} v(x) \left( \int_{\Omega} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{u(x) - u(y)}{|x - y|^{n+2s}} dy \right) dx.
\end{aligned}$$

By (A.2) and (A.3) this implies the identity (A.4). From the identity (A.4) we make the following observations:

(i) There holds

$$\begin{aligned}
& \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u(x) - u(y))(v(x) - v(y))}{|x - y|^{n+2s}} dx dy \\
&= \int_{\Omega_e} v(x) \mathcal{N}_s^\gamma u(x) dx.
\end{aligned}$$

for all  $v \in C_c^\infty(\Omega_e)$  and so coincides with our weak formulation.

(ii) We have

$$\begin{aligned}
& \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n} \setminus (\Omega_e \times \Omega_e)} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u(x) - u(y))(v(x) - v(y))}{|x - y|^{n+2s}} dx dy \\
&= \int_{\Omega} L_\gamma^s u(x) v(x) dx \\
&= \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n}} \frac{\gamma^{1/2}(x) \gamma^{1/2}(y)}{|x - y|^{n+2s}} (u(x) - u(y))(v(x) - v(y)) dx dy
\end{aligned}$$

for all  $v \in C_c^\infty(\Omega)$ .

Note that all observations above hold for a general symmetric kernel  $K(x, y)$ . Combining the assertion (i) and (ii), we see that:

- (a) The notion of solutions in the survey article for elliptic nonlocal equations in [RO16] and the definitions adapted in this article are the same. The former one have the advantage that one can study solutions to nonlocal Dirichlet problems, where the exterior conditions  $f$  are less regular.
- (b) We have

$$\langle \mathcal{N}_s^\gamma f, g \rangle = \langle \mathcal{N}_s^\gamma f, g' \rangle,$$

whenever  $g, g' \in H^s(\mathbb{R}^n)$  satisfy  $g - g' \in \tilde{H}^s(\Omega)$ , where  $\mathcal{N}_s f$  is the nonlocal normal derivative of the unique solution  $u_f$  to the homogeneous fractional conductivity equation with exterior value  $f$ . Hence, its again well-defined on the trace space  $X = H^s(\mathbb{R}^n)/\tilde{H}^s(\Omega)$ .

Moreover, let us point out that in [RZ22b, RZ22c] we used the following definition of DN map

$$\langle \Lambda_\gamma f, g \rangle = \frac{C_{n,s}}{2} \int_{\mathbb{R}^{2n}} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u_f(x) - u_f(y))(g(x) - g(y))}{|x - y|^{n+2s}} dx dy$$

for all  $f, g \in C_c^\infty(\Omega_e)$ . These two are related as follows

$$\begin{aligned} \langle \Lambda_\gamma f, g \rangle &= \langle \mathcal{N}_s^\gamma f, g \rangle + \frac{C_{n,s}}{2} \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(u_f(x) - u_f(y))(g(x) - g(y))}{|x - y|^{n+2s}} dx dy \\ &= \langle \mathcal{N}_s^\gamma f, g \rangle + \frac{C_{n,s}}{2} \int_{\Omega_e \times \Omega_e} \gamma^{1/2}(x) \gamma^{1/2}(y) \frac{(f(x) - f(y))(g(x) - g(y))}{|x - y|^{n+2s}} dx dy. \end{aligned}$$

**Remark A.1.** *We may now observe that the additional information on the set  $\Omega_e \times \Omega_e$  precisely allows to carry out the exterior determination. This shows that  $\Lambda_\gamma$  carries more information.*

As a matter of fact, the definition  $\mathcal{N}_\gamma^s$  is natural since it has a clear PDE interpretation although we cannot prove with it our exterior determination result.

Finally, we discuss the situation for constant coefficient operators like the fractional Schrödinger equation

$$(A.5) \quad \begin{cases} ((-\Delta)^s + q)u = 0 & \text{in } \Omega, \\ u = f & \text{in } \Omega_e. \end{cases}$$

In [GSU20], the authors defined the DN map  $\Lambda_q$  related to this exterior value problem by

$$\langle \Lambda_q f, g \rangle = \int_{\mathbb{R}^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g dx + \int_{\Omega} q u_f v_g dx$$

for all  $f, g \in H^s(\mathbb{R}^n)/\tilde{H}^s(\Omega)$ , where  $u_f \in H^s(\mathbb{R}^n)$  is the weak solution to (A.5) and  $v_g \in H^s(\mathbb{R}^n)$  an extension of  $g$ . In the special case  $g \in C_c^\infty(\Omega_e)$  one has

$$\langle \Lambda_q f, g \rangle = \int_{\mathbb{R}^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} g dx,$$

since we are only integrating over  $\Omega$  in the potential and so  $q$  is only implicitly contained in the definition of  $\Lambda_q$ . Then they showed in [GSU20, Lemma 3.1] that if  $\Omega \Subset \mathbb{R}^n$  is smooth and  $q \in C_c^\infty(\Omega)$  this DN map is simply the restriction  $(-\Delta)^s u_f|_{\Omega_e}$  (as long as the data  $f, g$  are sufficiently regular) and in the case  $f \in C_c^\infty(\Omega_e)$  there holds

$$\Lambda_q f = \mathcal{N}_s f - m f + (-\Delta)^s f|_{\Omega_e},$$

where  $m(x) = C_{n,s} \int_{\Omega} \frac{dy}{|x-y|^{n+2s}}$  (cf. [GSU20, Lemma A.2]). But this implies in this case that

$$\Lambda_{q_1} = \Lambda_{q_2} \iff \mathcal{N}_s^1 = \mathcal{N}_s^2.$$

If  $q$  is possibly nontrivial in the exterior then the notion of solutions to (A.5) is not affected if one introduces the related bilinear form by

$$B_q(u, v) := \int_{\mathbb{R}^n} (-\Delta)^{s/2} u (-\Delta)^{s/2} v dx + \int_{\mathbb{R}^n} q u v dx$$

for  $u, v \in H^s(\mathbb{R}^n)$ . This approach was, for example, carried out in [RZ22b] or [RS20b]. But then the natural DN map becomes

$$\langle \tilde{\Lambda}_q f, g \rangle := \int_{\mathbb{R}^n} (-\Delta)^{s/2} u_f (-\Delta)^{s/2} v_g dx + \int_{\mathbb{R}^n} q u_f v_g dx$$

for all  $f, g \in H^s(\mathbb{R}^n)/\widetilde{H}^s(\Omega)$ , where  $v_g$  is any representative of  $g$ . These two definitions of DN maps are related as follows

$$(A.6) \quad \begin{aligned} \langle \widetilde{\Lambda}_q f, g \rangle &= \langle \Lambda_q f, g \rangle + \int_{\Omega_e} q u_f v_g dx \\ &= \langle \Lambda_q f, g \rangle + \int_{\Omega_e} q f g dx. \end{aligned}$$

Hence, in general if  $q$  is not zero in the exterior these two definitions of DN maps are not equivalent and the latter helps to acquire information in the exterior. Therefore if (A.6)  $f, g$  have disjoint support then they are equivalent and precisely this lack of knowledge leads to counterexamples to uniqueness (cf. [RZ22a]).

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